Storyboard Augmentation of Process Model Grammars for Stakeholder Communication

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Abstract: Process models are often used to visualize and communicate workflows to involved stakeholders. Unfortunately, process modeling notations can be complex and need specific knowledge to be understood. Storyboards, as a visual language to illustrate workflows as sequences of images, provide natural visualization features that allow for better communication, to provide insight to people from non-process modelling expert domains. This paper proposes a visualization approach using a 3D virtual world environment to visualize storyboards for business process models. A prototype was built to present its applicability via generating output with examples of five major process model patterns and two non-trivial use cases. Illustrative results for the approach show the promise of using a 3D virtual world to visualize complex process models in an unambiguous and intuitive manner.

1 INTRODUCTION

Business Process Management (BPM) encompasses the analysis and improvement of business practices and is a valuable and much-applied area in existing companies. Communication, in many ways, is critical to BPM success (e.g., to avoid misunderstandings between the different stakeholders) (Bandara et al., 2007). For this reason, the communication of business process models is a key area of BPM, and an important topic of research (Recker et al., 2009a). Process model communication has impact on a number of key areas in business process management, in particular, model validation processes requires high levels of stakeholder engagement to ensure a quality modeling outcome (Bandara et al., 2005).

A number of visualization methods for business process models have been investigated, in terms of their abilities to facilitate communication (Moody, 2009). The research work shows that there are problems with the effectiveness of existing visualization methods for business processes (Bandara et al., 2007). Most process models are represented using abstract graphical modeling notations that consist of basic 2D geometric shapes with some formal syntax to give them meaning (Recker et al., 2009b). To stakeholders with little to no formal modeling experience, these diagrams can be difficult to understand. This is detrimental to the modeling effort, when business analysts need to work and communicate with enterprise stakeholders for maximum success (Trkman, 2010).

Storyboarding is a powerful descriptive method which can be used to present events and actions in order to support communication between stakeholders; independent of their expertise of the modeling language (cf. (Weitlaner et al., 2013)). The sequences of images providing by storyboards in combination with the background information and external knowledge of stakeholders help to identify problems and to support discussions on improvements.

In this paper we describe our ongoing research that aims to provide an approach to visually showing business process models, so that the problem of stakeholder communication can be alleviated by presenting intuitive 3D visualizations of operational aspects of a process model. The storyboards are shot-by-shot visualizations. The shots are automatically generated by using an extension of the 3D virtual world for business processes developed by (Brown and Rasmussen, 2010). Existing research has shown that domain experts and business representatives benefit from a hands-on, operational view of the business process being designed (Clancey et al., 1998). Virtual worlds can provide such an operational view by fill-
ing a 3D simulated world with objects, resources and animations that map to the real domain being modeled. An intended outcome of our research is an intuitive tool for business analysts to easily create rich 3D visualizations of process model diagrams, improving the uptake of such approaches and the related 3D technology for the BPM domain.

We proceed as follows. First, related work and background information are discussed in Section 2 and Section 3. Next in Section 4, we present our approach and in Section 5 two use cases for the warehouse order management domain are given. Finally, the paper is concluded in Section 6 with discussions about the future outlook of the research.

2 RELATED WORK

Storyboarding is a popular method from movie production (Hart, 2007). Recently, several methods have been developed to support video viewing/editing which range from different representation techniques (see, e.g., (Dony et al., 2005; Goldman et al., 2006)) to methods for realizing films (see, e.g., (Jhala et al., 2008; Jung et al., 2010)). In other domains, such as game design, storyboards are also commonly used. For example, (Pizzi et al., 2010) presented a solution to generate 2D-storyboards in order to support level design for games. Furthermore, storyboarding, in combination with use cases and personas, is a well-known Human Computer Interaction (HCI) method for the specification of requirements (cf. (Truong et al., 2006)). The results of the study by (Weitlaner et al., 2013) showed that the usage of storyboards also has potential for the description of process models.

In addition to the linear representation (e.g., shots along a timeline), several systems provide non-linear representations (e.g., to show different story paths) and visualize the scene flow as a graph to make the relationships between the different representation techniques (see, e.g., (Gebhard et al., 2003; Dade-Robertson, 2007; Sauer et al., 2006; Yeo and Yeung, 1997)). For our approach, we apply the workflow language YAWL 1 (Yet Another Workflow Language) in combination with the workflow patterns (Van Der Aalst et al., 2003) to define the control flow of the process model as a graph to form the backbone of our storyboard representation.

3 BACKGROUND

Business analysts use formal graphical modeling notations to express process models. Communication is therefore difficult, especially when stakeholders are not familiar with these notations. Ironically, communication is an overarching element of BPM success because business analysts frequently have to collaborate with stakeholders to validate a model, to ensure that it correctly represents the real process (Weske, 2007). Deficiencies have been identified in the representational properties of modeling grammars (Bandara et al., 2007), which are closely tied to a lack of user buy-in (Rosemann, 2006). For this reason, focus in the BPM research community has now shifted towards developing better ways of representing business process models to improve understanding and communication (Rosemann et al., 2009). The widely cited Media Richness Theory (Daft and Lengel, 1986) provides the theoretical underpinning for our storyboard approach. The theory states that equivocal tasks will benefit from richer media, e.g. media providing more communication channels and more rapid feedback.

Furthermore, Media Synchronicity theory (Dennis and Valacich, 1999) emphasizes the task-media fit. It argues that media differ in more than one dimension, and different media support fundamental communication processes in different ways, best supporting tasks for which their specific mix of communication processes is most useful. Recent work has also supported, in theory, the efficacy of iconic representations when added to personalised process model graphs (Koschmider and Dijkman, 2012). From this basis, we propose that a media rich process model, incorporating graph representations and augmented with contextualised operational imagery, will assist in communication processes by lessening the cognitive load for understanding. In essence, providing a space for the business analyst and stakeholders to communicate, and thus correctly validate the presented process model.

Because many people understand work in a hands-on manner, rather than in conceptual space, a real world representation provides a relatable representation to business stakeholders (Clancey et al., 1998). Virtual spaces can provide previously unseen insights, because the user experiences a visually close representation of the process, as in most cases the domain can be completely mapped to a virtual space. The order in which tasks are chosen can be aided by their location and distance, so that they can be completed in the most efficient manner (Leoni et al., 2008). In addition, by presenting the model physically in a 3D

1http://www.yawlfoundation.org/ last accessed 01/06/2013
simulation, collisions, bottlenecks and other spatially-related problems can be determined which aren’t immediately obvious in a diagram (Kindler and Ples, 2004).

An average business analyst would be largely unfamiliar with manipulating objects in 3D modeling tools (Schönhage et al., 2000) and would simply be unable to create a virtual world representation with the current tools on their own. As a solution, the 3D modelling process may be automated through techniques, such as procedural and declarative modeling (Tutenel et al., 2008).

The core of declarative modeling approaches is the constraint solver. This is because low-level constraints restrict the solution space and can be programmatically solved for one or more solutions (Le Roux et al., 2004). In declarative modeling, the designer needs to first describe the scene in some way. Description involves defining the properties, relationships and appearance of the scene (Gaildrat, 2007) often using natural language sentences (Coyne and Sproat, 2001), as we have done. Explicit and implicit constraints and semantics are frequently used in the 3D modeling process. These are described as an ontology (Tutenel et al., 2008), and are stored in a semantic database (Bidarra et al., 2010). Generated scenes do not usually incorporate narrative, or flow structures, which exist in process modeling. Our contribution is to apply these declarative modeling approaches to create a meaningful scene in the context of business process modeling. To the best of our knowledge, automatically creating 3D virtual environment representations from business processes has not been attempted.

4 APPROACH

This section gives a high level overview of the architecture and main components of our approach (cf. Figure 1) which was implemented as proof of concept in Java. Conceptually, in our approach, the operational view of the business is being melded with the process model in a virtual world. The virtual world scene is created using inputs such as content, positions and semantic information about the virtual world. The actual setup and image capture of the virtual world can either be done during the application’s processing, or completed afterwards. We have implemented the approach as a post-processing system, because it allows for less coupling between the system and virtual world application. The final specification can be loaded into a virtual world at any time to produce the visualization images. While the storyboards are automatically generated, the images are hand integrated into a YAWL diagram to illustrate the final result intended.

4.1 Shot Selection

A business process model encompasses many tasks, actions, events and state-changes. These are actions that must be performed during model execution, so it is desirable that these tasks be shown in the visualization. Task descriptions are commonly written in a natural, yet structured language (Mendling et al., 2010). In order for them to be used, they need to be parsed and formed into a consistent, meaningful language structure that encompasses the range of perspectives. Specifically for visualization, task labels give the program information about what needs to be shown in that image. By interpreting the plain text label using language processing, the program can determine the objects, actors and actions of that task. In our case, we implemented a method for reading a business process model specified in the YAWL modelling language and then converting it to a generic task-based workflow data structure. For the interpretation of the task descriptions, natural language processing is used, aided by the Stanford Parser and WordNet. The parsing process provides the basic foundation for extra information gathering about the business function the task represents. In order to provide a full specification to load into the virtual world, information about each task, including actions, roles and locations, are added to the task specification by querying the enterprise knowledge base to provide a semantic annotation (Born et al., 2007) from the modeling grammar (in our case YAWL). The YAWL model thus provides the basic logic and temporal ordering structure for the storyboard that is generated. Based on this information, the process model structure is split into a number of shots. The tasks that contained a control flow element are split into separate shots, the task itself and the control flow pattern. The final output of the Shot Selection module is a collection of shots specified in a data definition language.

4.2 Shot Composition

The Shot Composition module involves determining what objects, resources and actions need to be shown in the scene and how they can be shown to their best effect. The output of the Shot Composition module

\[\text{http://nlp.stanford.edu/software/lex-parser.shtml last accessed 01/06/2013}\]
\[\text{http://wordnet.princeton.edu/ last accessed 01/06/2013}\]
is the necessary scene specification that can be visualized and captured in a virtual world. The first step is to define the core representation concepts to be applied to a particular process model. At this stage that task is to decide how the specified shots in the Shot Selection module are shown, based on the type of action in the shot. For our use case, which is presented in detail in Section 5, we have a movement group that encompasses actions like "move", "transfer", "unload", and "load" as well as an observe group which encompasses actions like "check" and "determine" (see e.g., Figure 2). Furthermore, there exists a control flow group. The control flow events have no inherent physical representation and are largely logical. For this reason, a method for translating workflow concepts into a physical, operational form is proposed here. The fundamental approach to generating the visualizations is based on a visualization metaphor, where the workflow system is shown as a supervisory agent in the virtual world. The other avatars in the scene are simulated human worker agent threads within the system. When branching occurs in the model, the supervisor decides which tasks need to be completed and assigns avatars to complete the work. Because this metaphor is a physical manifestation of the most basic workflow concepts, it can be used to visualize different types of control flow in domain specific applications. In our case, the control flow group considers the five major workflow patterns (Van Der Aalst et al., 2003): Exclusive Choice, Simple Merge, Parallel Split, Synchronization, and Sequence.

Moreover, it is necessary that the viewer will be placed in the scene so that important actions are not blocked from view. To make the process automatic, arranging objects, actors and the camera in the scene needs to be done programmatically. A camera model was implemented to support this shot selection process. For the control flow actions, a camera specification is determined to encompass all the actors and icons. This was important because the number of actors and icons could change, based on the number of subsequent or previous branches. For the actions from the movement group and observation group, a camera was chosen from a predefined set of camera positions, and then focused on the average position of all the relevant objects in the scene.

4.2 Integration with Virtual World

The integration with the virtual world was not implemented directly into the program. This allows for any virtual world application to theoretically be used with the tool. In addition, the chosen virtual world application has several limitations in the way of reading custom scene specifications. The virtual world that was used to create the visualization was OpenSim\(^4\). The scene specification for each of the shots is passed to the virtual world through a structured plain-text file. This file included the camera position and focus, each geometry’s position, rotation, texture, and state, and each avatar’s position, rotation, and optional parameters. Using a custom region module in OpenSim, the text file is read and its information is made available in-world. To create each scene, the user logs into the virtual world and activates scripts housed inside in-world geometry.

4.3 Domain Data Model

In order to create an accurate visual representation of a business process, information about the context

\(^4\)http://www.opensimulator.org/ last accessed 01/06/2013
is required. Without knowledge of what actions are possible, who completes certain tasks and which resources are used, a visualization will be very limited. The modules Shot Selection and Shot Composition benefit from information external to the system itself. While the system is generalized in nature, the addition of specific data stores can focus the visualization to a particular domain. Two key external data stores were identified to be necessary. The first is the Enterprise Ontology, which holds domain-specific information about the business. The second is the Semantic and Physical Database, which holds 3D and 2D content (e.g., sizes and positions in the virtual world), as well as semantic information about these resources for more accurate placement.

5 USE CASE: WAREHOUSE

Figure 2 and Figure 3 present two use cases which show typical warehouse delivery procedures. Both use cases are illustrated as YAWL diagrams alone and in combination with the automatically generated images. The first use case (Figure 2) illustrates goods being brought in, signed for, checked and unloaded. The second business process model (Figure 3) shows a procedure for rejecting goods that are found to be defective. For each model, the interpretation of the workflow, using natural language processing, aided by a world knowledge base, was successfully performed. The tool read the process model, and determined the action, location and actors for each task. After the interpretation had occurred, the task information, along with 3D content was used to logically arrange the scene. This produced a text output file defining the avatars, geometry, camera and description for each scene. The visualization methods for the various control flow methods correctly took into account branching as well as the roles of the agents in the control flow scenes. Our implementation for automatically creating 3D visualizations of business process models was evaluated by running the implementation against the five major workflow patterns:

Sequence. With sequence, an activity is only enabled after the previous task is completed. The important aspects of representing sequence are arrangement and consistency. Firstly, the images will show the activity being carried out. In order to maintain chronological order, the images should be arranged one after the other, in a temporal sequence (see e.g., part B in Figure 2). The resources being used in each of the tasks must be consistent across sequential tasks.

Parallel Split. A parallel (AND) split is "where a single thread of control splits in to multiple threads of control which can be executed in parallel" (Van Der Aalst et al., 2003). We choose to visualize this pattern using a task list metaphor. The manager, as a metaphor for the workflow system, holds a list showing all the following tasks with checkboxes next to them, implying that all of them need to be completed (see Figure 4). This metaphor is generalizable, as a checklist could feasibly be used in any process application domain.
**Synchronization.** Synchronization is where multiple activities converge into one single thread of control. In order for this join to be enabled, all preceding sub processes must be completed. Most AND joins correspond to an AND split. The synchronization visualization method should therefore be similar to the visualization method of the split. We propose that completing all sub processes can be thought of ticking off all tasks on a list (see Figure 4). In the image, the manager, as a metaphor of the workflow system, would be shown holding a list of tasks (similar to that in the AND split) with all the tasks marked as completed. This method is again general, and can easily be adapted to different domains. Synchronization is performed when all workers ("workforce threads") complete their tasks. The image may show the manager surveying the workers who were performing the parallel tasks. The workers will be marked in some way to denote their completion.

**Exclusive Choice.** Exclusive choice (XOR) is when one and only one of the following branches is executed, either by a decision or by some system condition. In other words, the workflow manager must make a decision as to which avenue to take. Because choice involves cognition, the visualization of such a non-physical action requires the use of images and icons. In addition, there are two forms of choice, that executed by a workflow system, and that executed by the workflow consumer, a human agent. We illustrate the latter in our examples, to contrast with the automated parallelisation performed by the workflow management system. Human cognition is shown using thought bubbles, and choice is shown via a question marker between thoughts of the available options (see Figure 5). To this end, one option would be to show the person in a thinking pose. Above his head are thought bubbles containing icons or diagrams of the possible choices with a question mark between them.

**Simple Merge.** A simple merge, also called an XOR join, involves combining one or more alternative branches back into one without synchronization. It is assumed that only one of the previous branches is executed before enacting this join. It is usually associated with a corresponding exclusive choice construct. The workflow manager waits until one of the branches is completed. At this point, any other incoming branches are discarded and the workflow moves on. If we again imagine the workflow as a human manager, the join may be visualized using a sequence of images (see Figure 5). The first shows the manager waiting with a checklist with boxes representing each potential choice. In the second image, when a worker returns, one of the tasks is marked as completed and the other is crossed out, implying that it does not need to be done.

The five main workflow patterns are represented at least once in each of the two use cases generated by
the system. The first use case (cf. Figure 2) contains examples of the Exclusive Choice, Simple Merge and Sequence workflow patterns and the second use case (cf. Figure 3) contains an example of both Parallel Split and Synchronization.

Once transferred to the virtual world, the process model scenes were visualized and then used to manually augment a YAWL process model diagram.

5.1 Limitations

One key observation that was made during the course of testing was that the chosen Virtual World application, OpenSim, was problematic for some of the tasks necessary in the automated set-up of a virtual scene. The OpenSim application allowed the creation of empty human avatars, which could be moved to a limited degree, have different outfits applied, be sat upon objects in the scene and animated. But an issue was that the OpenSim functionality could not guarantee that the avatar would move into the exact position, or be at the correct angle, due to threading issues and bugs in the server code. It was impossible for the avatar to hold arbitrary objects, or to specify how the objects are held. All these abilities can be achieved with the user’s own manually-controlled avatar, but cannot be done automatically with avatar bots. For the implementation, a setup phase at the start of the session is required to attach objects to avatars. Regardless, the process to create the scene specification included these poses and held items, and could output them correctly.

In many shots the camera model worked perfectly and the creation of the images was quick and unproblematic. However, the camera implementation was imperfect in a number of cases due to the position of the objects (which were being used to calculate the focus point) not corresponding to the object center, or best focus point. However, this problem is ameliorated in the latest versions of OpenSim, with the advent of mesh objects, removing the need to use linked geometries with ambiguous centres of mass for most process resources in an activity.

6 CONCLUSIONS

This work can be seen as a first step at creating a 3D multimedia representation for process models, and shows promise as a stakeholder communication tool for process model validation tasks. The implementation has been tested with the five basic workflow patterns, with consistent visual results. The approach has been implemented as a preliminary prototype and needs to be extended to incorporate other workflow control patterns, and more refined multimedia features. At present, the implementation creates a set of still images at key points in the process model. An interactive, movie like representation could be more amenable to user engagement, due to higher levels of insight via direct interaction.

Ambiguities in the visualizations of the workflow patterns, however, need to be improved. An example of this issue is the representation difficulties that occur when two or more of the XOR branches are completed by the same worker representation. In addition, these visualizations need to be subjectively evaluated by stakeholders, to obtain a measure of their effectiveness.

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