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Ali, Yasir, Haque, Md Mazharul, Zheng, Zuduo, & Bliemer, Michiel C.J. (2021)

Stop or go decisions at the onset of yellow light in a connected environment: A hybrid approach of decision tree and panel mixed logit model. *Analytic Methods in Accident Research*, 31, Article number: 100165.

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<https://doi.org/10.1016/j.amar.2021.100165>

1 **Stop or go decisions at the onset of yellow light in a connected environment: A hybrid**  
2 **approach of decision tree and panel mixed logit model**

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12  
13 **Abstract**

14 Driver decisions at the onset of yellow traffic lights are often critical, as inaccurate decisions  
15 may result in traffic conflicts and collisions. A future connected environment where vehicles  
16 can communicate with traffic lights is expected to minimize the uncertainty associated with a  
17 driver's decision-making at signalized intersections by providing advance information related  
18 to traffic light changes. The effectiveness of such a connected environment, however, remains  
19 unexplored due to the paucity of relevant data. This study examines driver decisions at the  
20 onset of yellow traffic lights when they are assisted with advance information about traffic light  
21 changes. Seventy-eight participants with diverse backgrounds performed driving experiments  
22 on an urban route with a signalized intersection simulated in the CARRS-Q Advanced Driving  
23 Simulator. The experiment consisted of two randomized driving conditions: baseline (without  
24 advance information aids) and connected environment (with advance information aids).  
25 Contrary to the existing literature, this study employs a hybrid approach, leveraging the  
26 combined benefits of data mining to identify *a priori* relationships and a panel mixed logit  
27 model (more specifically, correlated grouped random parameters logit with heterogeneity-in-  
28 means approach) to account for unobserved heterogeneity as well as the correlation among  
29 random parameters. Our analysis shows that drivers in the connected environment are less  
30 likely to proceed through intersections at the onset of yellow light compared to the baseline  
31 condition. However, at the individual driver-level, the connected environment's impact on  
32 driver decisions is mixed. Female drivers have been found to have a higher propensity for  
33 yellow light running in the connected environment than that of male drivers. Overall, the  
34 connected environment assists drivers in making safer decisions at the onset of yellow light.

35 **Keywords:** Connected environment; road safety; yellow light; driving behavior; correlated  
36 grouped random parameters model; machine learning.

37 **1. Introduction**

38 Advancements in communication technologies like connected vehicles have shown promise to  
39 address massive transport issues related to traffic congestion, road safety, and greenhouse gas  
40 emissions. Although recent research efforts have emphasized the effectiveness of a connected  
41 environment in minimizing crash risk and improving traffic flow conditions on motorways, it  
42 is imperative to assess the efficacy of a connected environment in more complex interactions

1 such as in case of urban road networks. This study focuses on the impact of a connected  
2 environment in an urban context with signalized intersections.

3 Intersections are susceptible to conflicting movements of various road users from  
4 different directions. Specifically, traversing through a signalized intersection is a complex  
5 driving maneuver that requires significant cognitive capability and visual-manual attention (Lu  
6 et al., 2015, Caird et al., 2007). Due to this complexity, intersections are associated with high  
7 crash risk (Choudhary and Velaga, 2019). For instance, during 2010, the U.S. National  
8 Highway Traffic Safety Administration reported about 35% of crashes occurred at intersections  
9 (Choi, 2010). In 2018, 179 and 48 drivers were killed in intersection-related crashes in New  
10 South Wales and Queensland, Australia, respectively (DTMR, 2019, TfNSW, 2019).

11 Traversing through a signalized intersection when a traffic light changes from green to  
12 yellow is an attentive task, requiring a driver to quickly decide whether to stop or cross the  
13 intersection and thus regarded as a critical interval (Elmitiny et al., 2010, Papaioannou, 2007).  
14 Drivers may end up in the dilemma zone, where one cannot safely stop before the stop line nor  
15 proceed through the intersection during a yellow interval, and then often make a decision based  
16 on their driving speeds, distance to the stop line, and their position in traffic stream (Elmitiny  
17 et al., 2010). Elmitiny et al. (2010) observed two frequent behaviors: (i) aggressive driving,  
18 where one is far away from the stop line but decides to proceed through an intersection, tending  
19 to run the red light; and (ii) conservative driving, where one is close to the stop line and could  
20 safely pass through an intersection, but decides to stop. Due to driver heterogeneity, conflicting  
21 decisions may arise from a following vehicle, leading to an increased probability of rear-end  
22 or angle crashes at intersections. It is reported that about 139,000 and 846 people were  
23 respectively injured and killed in red light running crashes in the U.S. during 2018 (IIHS,  
24 2020).

25 An inappropriate and risky decision of crossing an intersection at the onset of yellow  
26 light often leads to a red light violation as well as conflicts with leading vehicles that have  
27 decided to stop at the intersection and conflicts with vehicles from other directions of travel  
28 (Elmitiny et al., 2010). Along this line, Baguley (1988) classified driving behavior at  
29 intersections in three groups: (a) drivers who are likely to clear the intersection before the red  
30 light but are either hindered by a slow-moving leader or their own indecisiveness (Retting et  
31 al., 2002); (b) uncertain drivers in the dilemma zone; and (c) drivers deliberately running the  
32 red light knowing that they could cross without any safety hazards (NHTSA, 2006). This study  
33 attempts to understand driver decisions collectively from these three groups at the onset of  
34 yellow light and their contributing factors.

35 To study the cause-and-effect relationship of driver decisions with its contributory  
36 factors, most of the previous studies solely apply traditional statistical models (mostly  
37 commonly binary logistic models). These models require an analyst to specify main effects and  
38 potential interactions among them based on their prior knowledge and do not account for  
39 unobserved heterogeneity. This problem further aggravates while specifying higher-order  
40 interactions typically unknown to an analyst and when there exist multiple (or repeated) driving  
41 conditions that add an additional layer of potential correlation between repeated observations  
42 (Mannering et al., 2016). Ignoring these issues during the model development process may lead  
43 to model misspecification issues (see Mannering et al. (2016) for a detailed discussion). To this  
44 end, Mannering et al. (2020) pointed out that “*there is a clear need in the safety field to ground*

1 *intrinsically predictive models within causal frameworks, while also taking insights from*  
2 *intrinsically predictive models (especially from big data) to improve upon causal structures*  
3 *through insights from associations involving variables not typically available in traditional*  
4 *safety data. One promising direction for future research would be a hybrid modeling approach*  
5 *of data-driven and statistical methods (with strong consideration to causal elements)”.*  
6 Following the recommendation of Mannering et al. (2020), there is a clear need to combine  
7 two approaches (data mining for obtaining prior knowledge about underlying relationships and  
8 advanced econometric modeling for capturing unobserved heterogeneity) to better understand  
9 driver decisions in a connected environment (more details are provided in Section 3).

10 The objective of this study is to investigate the impact of a connected environment on  
11 driver decisions at the onset of yellow light at signalized intersections. We address the  
12 following research questions: (1) can a connected environment reduce (or even eradicate)  
13 yellow light running?; (2) do drivers use advance information aids provided by a connected  
14 environment in a conservative manner to stop before the stop line?; (3) do drivers utilize such  
15 information in a counterproductive manner to safely proceed through an intersection?; and (4)  
16 does a connected environment result in a monotonous effect on driver decisions or there is a  
17 differential impact based on driver demographics? To answer these research questions, we  
18 employ a hybrid approach of data mining and advanced econometric modeling using real  
19 trajectory data collected from the advanced driving simulator experiment designed to mimic  
20 driving conditions in a connected environment.

21 To this end, the rest of the paper is structured as follows: Section 2 reviews the relevant  
22 literature. Section 3 explains the experimental plan, including the driving simulator, scenario  
23 design, participant details, data collection procedure, data processing, and the hybrid modeling  
24 approach adopted in this study. Modeling results are presented in Section 4, and Section 5  
25 discusses the impact of the connected environment on driver decisions. Finally, Section 6  
26 concludes the study and provides an outlook for future research.

## 27 **2. Previous work on driver behavior at signalized intersections in traditional and** 28 **connected environments**

29 A synthesis of the literature reveals abundant research studies related to driving behavior at  
30 signalized intersections. These studies can be classified into two streams: studies related to  
31 driver decisions at signalized intersections in a traditional environment and identifying the  
32 factors affecting their decisions (Lu et al., 2015, Elmitiny et al., 2010, Caird et al., 2007,  
33 Papaioannou, 2007, Retting et al., 2002, Porter and England, 2000, Newton et al., 1997,  
34 Baguley, 1988, Mahalel and Prashker, 1987, Sheffi and Mahmassani, 1981), and studies related  
35 to distracted driver decisions at signalized intersections (Choudhary and Velaga, 2019, Eluru  
36 and Yasmin, 2016, Haque et al., 2016a, Xiong et al., 2016). For instance, using field data,  
37 Elmitiny et al. (2010) developed a binary logit model for estimating the probability of stopping  
38 at or crossing the stop line as a function of approaching speed, distance to the stop line, driver  
39 demographics such as gender, age group, and the presence or absence of a dilemma zone. Along  
40 similar lines, younger and older driver decisions were predicted using a binary logistic model  
41 as a function of the time to stop line using a moderate-fidelity simulator (Caird et al., 2007). In  
42 another driving simulator study, a new traffic light change anticipation system was tested and  
43 compared with a regular traffic light system and found that the new system reduced red light  
44 running violations compared to the baseline system. On the other hand, Haque et al. (2016a)  
45 investigated how mobile phone use affects driver stop/go decisions at signalized intersections

1 using a driving simulator. This study found that running a yellow light while distracted is a  
2 function of driver demographic and speed at the onset of yellow light. More specifically,  
3 distracted young and middle-aged drivers showed a lower probability of yellow right running,  
4 reflecting risk compensation behavior. Similarly, in another driving simulator-based study, it  
5 was found that time to the stop line, maneuver type, and distraction caused by a mobile phone  
6 and a music player had a significant impact on the probability of crossing the intersection at  
7 the onset of yellow light (Choudhary and Velaga, 2019). Although these, as well as other  
8 relevant, studies have substantiated the significance of research related to driver decisions at  
9 signalized intersections during undistracted and distracted driving conditions in a traditional  
10 environment, it is yet unclear what the impact is of advance information provided by a  
11 connected environment on driver decisions at signalized intersections. This research gap  
12 motivates the present study.

13 Using vehicle-to-vehicle (V2V) and vehicle-to-infrastructure (V2I) communications, a  
14 connected environment provides event-based as well as advance information aids, which will  
15 assist in reducing the uncertainty associated with decision-making and thereby alleviating (if  
16 not completely suppressing) traffic safety issues (Ali, 2020). A thorough literature review  
17 suggests that most of the existing studies related to a connected environment are based on  
18 numerical simulations and focus on analyzing macroscopic (or network-wide) benefits of a  
19 connected environment (Njobelo et al., 2018, Xiang et al., 2016, Sam et al., 2015, Lee and  
20 Park, 2012, Chang et al., 2009). For example, Xiang et al. (2016) reported the effectiveness of  
21 auditory warning messages on brake response time to a red-light running vehicle provided by  
22 a connected environment and found reduced collision rates at intersections when warning  
23 messages were available. Similarly, another study found that an advanced stop assist system in  
24 a connected environment lowered hard braking events by about 50% at signalized intersections  
25 (Sam et al., 2015). Although these studies provide evidence of the potential benefits of a  
26 connected environment using network simulations, an important component—the human  
27 factor—is not accounted for in these studies, which is vital for the success of a connected  
28 environment (Sharma et al., 2017). Realizing this limitation of microsimulations, recent  
29 simulator-based studies have shown a positive impact of real-time driving aids in a connected  
30 environment in improving safety during car-following (Sharma et al., 2020b, Sharma et al.,  
31 2019) and lane-changing (Ali et al., 2020a, Ali et al., 2020b, Ali et al., 2020c, Ali et al., 2020e,  
32 Ali et al., 2019a, Ali et al., 2019b, Ali et al., 2018)—note that these studies focused on  
33 motorways. However, it is still unclear how advance information in a connected environment  
34 affects driver decisions in an urban road context with signalized intersections.

### 35 **3. Methodology**

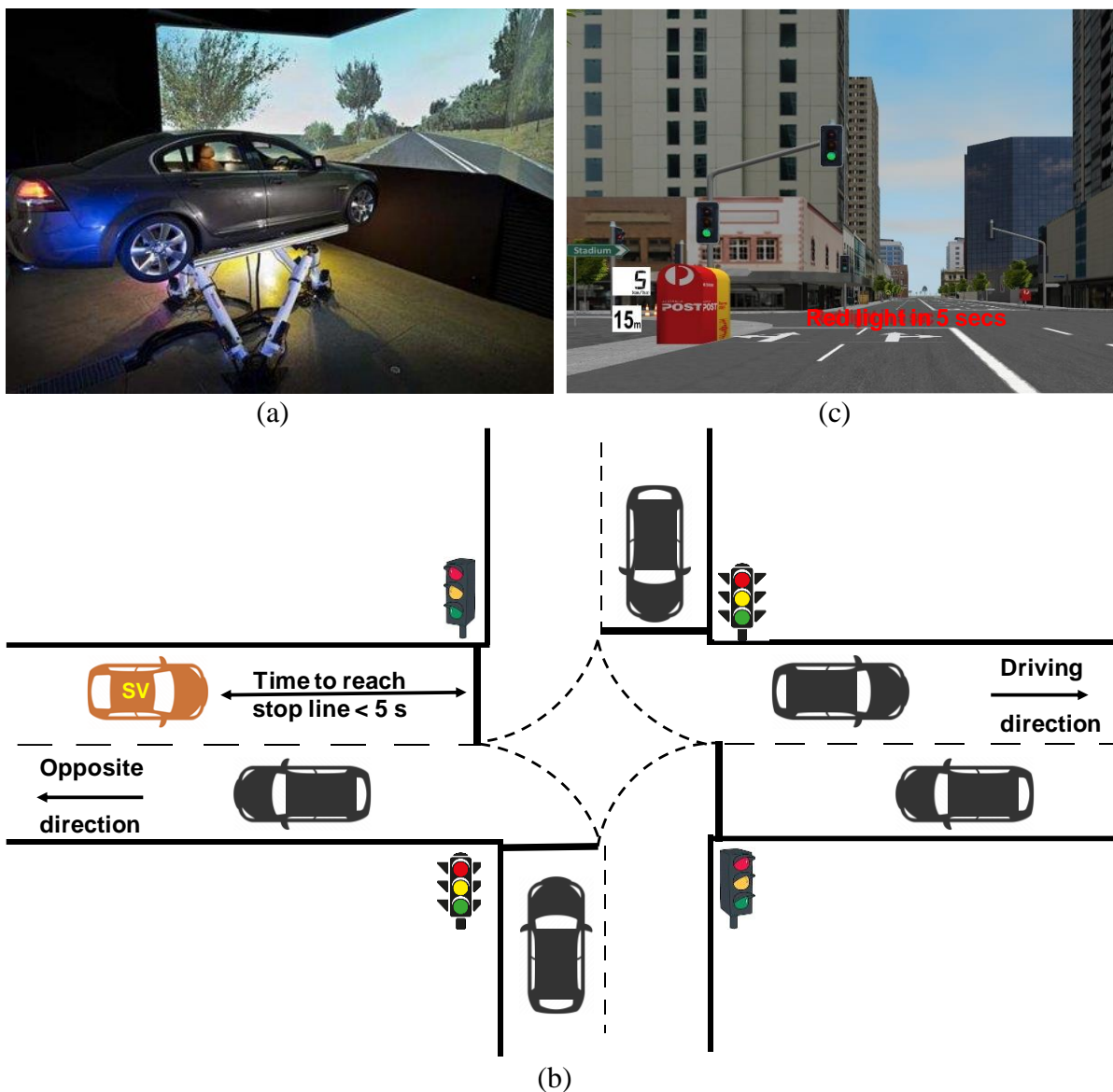
#### 36 **3.1 Design of experiment**

37 Given the novelty of a connected environment and paucity of relevant data, this study designed  
38 an experiment to collect high-quality vehicle trajectory data. As data related to driver decisions  
39 at the onset of yellow light at a signalized intersection can be difficult and unsafe to obtain  
40 from field experiments, the Centre for Accident Research and Road Safety-Queensland  
41 (CARRS-Q) Advanced Driving Simulator (shown in Figure 1(a)) was utilized to provide a  
42 controlled driving environment and flexibility of collecting data without safety concerns.  
43 Participants were asked to drive in a city environment in two randomized driving conditions:  
44 baseline driving (without advance information aids; same as the traditional driving  
45 environment) and connected environment (with advance information about traffic light

1 changes). The baseline driving condition is considered the ‘default’ driving condition to which  
2 the driving performance is compared.

### 3 3.1.1 Advanced Driving Simulator

4 The CARRS-Q Advanced Driving Simulator (Figure 1(a)) consists of a Holden Commodore  
5 car with fully functioning controls, fitted with three projectors displaying a 180° field of view.  
6 The simulator is also attached to a flexible rotating base that can provide six degrees-of-  
7 freedom, mimicking real driving features like acceleration, deceleration, braking, cornering,  
8 and road surface. In addition, the simulator car produces simulated engine noises, vehicle-road  
9 interaction noises, and sounds of other traffic interactions. The simulator uses SCANeR™  
10 studio software that connects eight computers for controlling the simulator car dynamics and  
11 virtual environment, and records basic operational variables (speeds, accelerations, positions,  
12 etc.) at every 0.05 s.



13 **Fig. 1. Experiment design:** (a) the Advanced Driving Simulator; (b) schematic of the  
14 designed driver-traffic signal interaction; and (c) a snapshot of the advance information  
15 displayed on the windscreen in the connected environment.

1 3.1.2 *Participants*

2 To ensure the diversity and representativeness of participants recruited for this study, we  
 3 advertized our experiment at various public places and social media platforms. In total, 78  
 4 participants were recruited for this study, and their descriptive statistics are presented in Table  
 5 1. The mean age of the participants was 30.8 years (standard deviation [SD] 11.70 years), with  
 6 64% of them being male. The mean ages for male and female participants were respectively  
 7 34.1 (SD 12.6) years and 24.9 (SD 6.7) years. About 80% of the participants possessed an open  
 8 driving licence, and their average driving experience was 12.2 (SD 11.5) years. About 10% of  
 9 the participants self-reported that they were involved in a traffic crash in the past one year.  
 10 About 58% of the participants reported that they had heard about connected vehicles  
 11 previously. To compensate for their time of volunteer participation in the experiment, each  
 12 participant received AUD 75 after completing the experiment.

13 **Table 1** Descriptive statistics of the participants

| <b>Driver characteristics</b>                     | <b>Mean</b> | <b>SD</b> | <b>Count</b> | <b>Percentage</b> |
|---|-------------|-----------|--------------|-------------------|
| Driver's age (years)                              | 30.8        | 11.7      | —            | —                 |
| Young drivers                                     | 22.11       | 2.44      | 38           | 48.72             |
| Middle-aged drivers                               | 35.34       | 3.36      | 32           | 41.03             |
| Older drivers                                     | 58          | 4.08      | 8            | 10.26             |
| <b>Gender</b>                                     |             |           |              |                   |
| Male  | —           | —         | 50           | 64.1              |
| Female  | —           | —         | 28           | 35.9              |
| <b>Education</b>                                  |             |           |              |                   |
| Primary   | —           | —         | 2            | 2.5               |
| Junior (Grade 10)                                 | —           | —         | 1            | 1.3               |
| Senior (Grade 12)                                 | —           | —         | 18           | 23.1              |
| TAFE or Apprenticeship                            | —           | —         | 9            | 11.5              |
| University  | —           | —         | 48           | 61.6              |
| <b>Licence type</b>                               |             |           |              |                   |
| Open  | —           | —         | 62           | 79.5              |
| Provisional                                       | —           | —         | 16           | 20.5              |
| Years of driving                                  | 12.2        | 11.5      | -            | -                 |
| <b>Kilometers driven in a typical year</b>        |             |           |              |                   |
| 0-5,000 km  | —           | —         | 10           | 12.8              |
| 5,001-10,000 km                                   | —           | —         | 19           | 24.4              |
| 10,000-15,000 km                                  | —           | —         | 15           | 19.2              |
| 15,001-20,000 km                                  | —           | —         | 18           | 23.1              |
| 20,001-25,000 km                                  | —           | —         | 6            | 7.7               |
| > 25,000 km                                       | —           | —         | 10           | 12.8              |
| <b>Crash involvement in last one year</b>         |             |           |              |                   |
| Involved  | —           | —         | 8            | 10.3              |
| Not involved                                      | —           | —         | 70           | 89.7              |
| <b>Frequency of driving per week</b>              |             |           |              |                   |
| Less than 2 times                                 | —           | —         | 5            | 6.4               |
| 2-4 times   | —           | —         | 28           | 35.9              |
| 5-6 times   | —           | —         | 16           | 20.5              |
| 7-8 times   | —           | —         | 7            | 9.0               |
| More than 8 times                                 | —           | —         | 22           | 28.2              |
| <b>Prior information about Connected Vehicles</b> |             |           |              |                   |
| Yes   | —           | —         | 33           | 42.3              |
| No  | —           | —         | 45           | 57.7              |

### 1 3.1.3 *Design of traffic signals*

2 To satisfy the study needs, the Brisbane Central Business District (CBD) area and its  
3 surrounding environment were simulated in the driving simulator with high accuracy with  
4 traffic signs and road marking designed according to Australian road standards. The posted  
5 speed limit in the city was 40 km/h. The interaction with a traffic signal was judiciously placed  
6 on two intersections along a city route. Prior to interacting with traffic signals, drivers drove in  
7 the simulated city environment to familiarize themselves with city driving. When approaching  
8 a signalized intersection, a driver was required to respond to the change in the traffic light  
9 turning from green to yellow. In the experiment, the driver interacted with one of the two traffic  
10 signals in each drive while the other traffic signal was green when drivers approached the  
11 intersection. The selection of the intersection for yellow light interactions in a drive was  
12 randomized among the participants.

13 The driver-traffic signal interaction event was scripted in such a way that the traffic  
14 light turned from green to yellow when the driver was 5 s away from the stop line (see Figure  
15 1(b)) based on the speed and distance to the stop line of the subject vehicle. Following the  
16 guidelines of the Department of Transport and Main Roads, Queensland, the yellow interval  
17 between the red and the green light was set as 3 s, which means that participants had 2 s to read  
18 and interpret the message. Although previous studies have used this time period as an  
19 explanatory variable in the model (Choudhary and Velaga, 2019, Haque et al., 2016a) due to  
20 variability in the design of traffic lights, in our study, we use a fixed time period of 5 s to  
21 minimize confounding factors as otherwise, it would be difficult to determine whether the  
22 change in driving behavior is caused by different time periods or due to the connected  
23 environment. We also avoided having lead vehicles or ambient traffic near the two intersections  
24 to further avoid confounding the data. The simulated environment is the same for both the  
25 baseline and connected environment scenario with the exception of advance traffic light  
26 information shown in the simulated connected environment.

### 27 3.1.4 *Design of the connected environment*

28 Using simulated vehicle-to-infrastructure (V2I) communications between the traffic light and  
29 the subject vehicle, advance information was disseminated to the participants in the connected  
30 environment driving condition. For the design of the advance information, a thorough literature  
31 review was conducted, and designs of major car manufacturers were reviewed to determine  
32 how information is disseminated to drivers. By utilizing this knowledge, the information in the  
33 driving simulator was provided in two forms: visually (a text message) and auditory (a beep  
34 sound). The visual information was displayed on the windscreen resembling the heads-up  
35 display equipped in some of the recent vehicle models. Figure 1(c) illustrates an example of  
36 advance information showing the message “Red light in 5 secs” when the participant was 5 s  
37 away from the stop line.

38 Prior to the two actual experiment drives, participants performed a familiarization drive  
39 to get acquainted with the driving environment, simulator car, and designed interactions. Once  
40 they felt confident about their driving, they were allowed to participate in the actual  
41 experiment. Several strategies were implemented to minimize learning effects (and resulting  
42 bias) caused by repeated driving. First, the order of the two scenarios (baseline and connected  
43 environment) was randomized. Second, the intersection where advance information was  
44 received was also randomized in each drive. Third, the surrounding environment (including



cars and buildings) was changed for each drive while keeping the signalized intersections identical. Fourth, although the scope of this paper is limited to investigating driver-traffic signal interaction in the city, each drive consisted of several other tasks such as car-following, lane-changing, and interactions with a pedestrian crossing, which are presented elsewhere (Ali et al., 2020c). Each of the two drives took on average 10-12 mins, and the entire experiment finished in about 50 mins.

### 3.1.5 Participant experiment protocol

At the CARRS-Q Advanced Driving Simulator facility, participants were briefed about the driving simulators and the objective of the experiment, including a detailed explanation about advance traffic light information in the simulated connected environment using schematics presented in Figure 1. Participants were instructed to obey the posted speed limit and drive to the speed limit as close as possible. Before starting the two experiment drives, participants were asked to complete a pre-driving questionnaire, including questions related to demographics, driving history, and driving behavior, and to perform a familiarization drive consisting of interactions with traffic light changes. Participants were tested for motion sickness using the standard instrument of motion sickness assessment adapted from Brooks et al. (2010), and workloads were assessed after each drive using the NASA TLX questionnaire. After completing the experiment, the participants received their fixed monetary reward.

## 3.2 Data collection

Driver decisions are extracted from the driving simulator data as a binary dependant variable for econometric modeling purposes, where 1 means that the participant proceeded through the intersection at the onset of yellow light, while 0 means that the participant stopped before the stop line. Explanatory variables are classified into traffic operational variables, driver demographics, and driving conditions. Traffic operational variables include driving speed, distance to the stop line at the onset of yellow light, and acceleration noise (or variation) prior to the onset of yellow light. Driver demographics contain age, gender, driving experience, licence type, and education. The driving condition variable has two categories, baseline and connected environment.

**Table 2.** Summary statistics of operational and response data for each driving scenario

| Variable                             | Description of variables   | Mean (SD)       |                 | Count (Percentage) |            |
|--------------------------------------|--|-----------------|-----------------|--------------------|------------|
|                                      |  | Baseline        | CE              | Baseline           | CE         |
| <b>Driving condition</b>             |  |                 |                 |                    |            |
| Baseline                             | Driving without information aids (reference)   | —               | —               | 78                 | 100        |
| CE                                   | Driving with information aids (dummy)  | —               | —               | 78                 | 100        |
| <b>Traffic operational variables</b> |  |                 |                 |                    |            |
| Speed                                | The speed of drivers at the onset of a yellow light (m/s)  | 10.16<br>(1.41) | 9.65<br>(1.07)  | —                  | —          |
| Acceleration noise                   | The standard deviation of acceleration/deceleration of a driver prior to the onset of a yellow light (m/s <sup>2</sup> ) | 0.64<br>(0.25)  | 0.25<br>(0.15)  | —                  | —          |
| Distance                             | The distance from the stop line at the onset of a yellow light (m)   | 30.23<br>(4.15) | 50.70<br>(5.84) | —                  | —          |
| <b>Response variable</b>             |  |                 |                 |                    |            |
| Decisions                            | Driver decisions to proceed through a yellow light   | —               | —               | 51 (65.38)         | 26 (33.33) |

30 *CE: connected environment*

1            Seventy-eight participants performed two drives, resulting in 156 decisions at the onset  
2 of yellow interval at signalized intersections. Each driver encountered two interactions with a  
3 traffic signal in repeated driving, thereby forming a panel dataset. Summary statistics of the  
4 explanatory and response variables are presented in Table 2. Among the 156 encounters with  
5 yellow lights, 51 drivers decided to proceed through the intersection in baseline conditions,  
6 while 26 drivers did so in the connected environment (this difference is also statistically  
7 significant, Fisher’s exact test:  $p$ -value  $< 0.001$ ). The mean driving speeds during the baseline  
8 and connected environment driving conditions are respectively 10.16 m/s (SD 1.41 m/s) and  
9 9.65 m/s (SD 1.07 m/s). Acceleration noise—measured as the standard deviation of  
10 acceleration during the roadway segment prior to the onset of yellow light—is considered as  
11 an indicator of reckless driving (Ali et al., 2020e), and its values for the baseline and connected  
12 environment driving conditions are  $0.64 \text{ m/s}^2$  (SD  $0.25 \text{ m/s}^2$ ) and  $0.25 \text{ m/s}^2$  (SD  $0.15 \text{ m/s}^2$ ),  
13 respectively.

### 14 **3.3 Data analysis techniques**

15 Let  $y_{ij}$  be the indicator variable for the decision of driver  $i$  in scenario  
16  $j \in \{\text{baseline, connected}\}$ , which equals 1 if the driver has proceeded through the intersection  
17 at a traffic signal and is 0 otherwise. Let  $\mathbf{x}_{ij}$  and  $\mathbf{z}_i$  be column vectors of corresponding  
18 driver/scenario-specific values of the traffic operational variables and driver-specific values of  
19 the sociodemographic variables, respectively. Further, let  $\mathbf{w}_{ij}$  be a column vector of relevant  
20 interaction terms between traffic operational variables and sociodemographic variables  
21 obtained via decision tree analysis (see Section 4.1). The systematic utility  $V_{ij}$  that driver  $i$   
22 attaches to proceeding through the intersection in scenario  $j$ , relative to stopping, is assumed to  
23 be described by a linear-additive relationship of operational and sociodemographic variables,

$$127 \quad V_{ij} = \alpha + \boldsymbol{\beta}'_i \mathbf{x}_{ij} + \boldsymbol{\gamma}' \mathbf{z}_i + \boldsymbol{\delta}' \mathbf{w}_{ij}, \quad (1)$$

24 where,  $\alpha$  is a constant,  $\boldsymbol{\gamma}$  is a column vector of coefficients for the sociodemographic variables  
25 to describe unobserved heterogeneity across drivers, and  $\boldsymbol{\delta}$  is a column vector of coefficients  
26 associated with the interaction terms. We also include random parameters as suggested in the  
27 literature (e.g., Sharma et al. (2020a), Fountas et al. (2018a), Fountas and Anastasopoulos  
28 (2017), Mannering et al. (2016)), namely  $\boldsymbol{\beta}_i$  is a column vector of driver-specific random  
29 parameters for the operational variables defined as

$$30 \quad \boldsymbol{\beta}_i = \boldsymbol{\mu} + \boldsymbol{\Psi} \mathbf{z}_i + \boldsymbol{\Omega} \boldsymbol{\phi}, \quad (2)$$

31 where  $\boldsymbol{\phi}$  is a column vector of independent standard normally distributed random variables.  
32 As a result, the mean of the distribution of  $\boldsymbol{\beta}_i$  is equal to  $\boldsymbol{\mu} + \boldsymbol{\Psi} \mathbf{z}_i$ , where  $\boldsymbol{\mu}$  and  $\boldsymbol{\Psi}$  are a column  
33 vector and a matrix of coefficients, respectively, the latter describing unobserved heterogeneity  
34 across drivers with respect to the sensitivity towards traffic operational conditions. The  
35 covariance of the distribution of  $\boldsymbol{\beta}_i$  (to describe unobserved heterogeneity) is equal to matrix  
36  $\boldsymbol{\Omega} \boldsymbol{\Omega}'$ , where  $\boldsymbol{\Omega}$  is a lower triangular matrix in the Cholesky decomposition that contains  
37 information about variances as well as covariances to explicitly account for correlations in the  
38 coefficients (Fountas et al., 2018b, Greene, 2012). Let  $\boldsymbol{\sigma}$  denote the non-zero elements in  
matrix  $\boldsymbol{\Omega}$ . The standard deviation of the  $k$ -th random parameter in vector  $\boldsymbol{\beta}_i$ , denoted by  $\beta_{ki}$ ,

1 can be obtained as  $\sqrt{\text{var}(\beta_{ki})} = \sqrt{\sigma_{k,k}^2 + \sigma_{k,k-1}^2 + \sigma_{k,k-2}^2 + \dots + \sigma_{k,1}^2}$ , where the indices refer to  
 2 positions in matrix  $\Omega$ .

3 Both probit and logit model formulations were tested, and the logit formulation was  
 4 found to outperform its counterpart in terms of statistical fit, namely McFadden's pseudo  $\rho^2$   
 5 and Akaike Information Criterion (AIC). Considering the logit model, the probability of  
 6 observing  $y_{ij} = 1$  in the data is given by

$$p_{ij} = \Pr(y_{ij} = 1) = \frac{1}{1 + e^{-V_{ij}}}. \quad (3)$$

7 Given that there are two interactions with a traffic light by the same driver, it is likely  
 8 that behavioral responses to both interactions are similar (Pantangi et al., 2019). To account for  
 9 repeated observations of the same participant, also referred to as panel data, we explicitly  
 10 consider correlations across observations in the baseline and connected environment scenarios  
 11 by taking the same draw from the distribution of  $\beta_i$  for both scenarios (Huo et al., 2020, Sharma  
 12 et al., 2020b).

13 Maximum likelihood estimates for coefficients  $(\alpha, \gamma, \delta, \mu, \Psi, \sigma)$  are obtained by  
 14 maximizing the following loglikelihood function:

$$L = \sum_i \int_{\beta_i} \ln \left( \prod_j p_{ij}^{y_{ij}} (1 - p_{ij})^{1-y_{ij}} \right) f(\beta_i | \mu, \Psi, \sigma) d\beta_i, \quad (4)$$

15 where the product over the scenarios accounts for the panel nature of the data (see Revelt and  
 16 Train (1998)) and the integral considers the expectation over all possible values of  $\beta_i$  where  $f$   
 17 is the probability density function of the corresponding multivariate normal distribution that  
 18 depends on distributional coefficients. We use Monte Carlo simulation with 1000 quasi-  
 19 random Halton draws to numerically approximate the integral. We also tested log-normal,  
 20 Weibull, uniform, and triangular distributions, but the normal distribution density function  
 21 outperformed others in terms of statistical fit and interpretation, which corroborates the safety  
 22 literature (Eker et al., 2019, Pantangi et al., 2019, Mannering and Bhat, 2014).

23 As this study finds more than one random parameter to be statistically significant (see  
 24 Section 4.3), the potential correlation between the random parameters is captured. Note that  
 25 such a modeling approach is frequently used in the safety literature and called a *correlated*  
 26 *grouped random parameters logit with heterogeneity-in-means* approach, whereas the  
 27 correlation between random parameters can be obtained using the elements in variance-  
 28 covariance matrix  $\Omega\Omega'$  as  $\text{corr}(\beta_{ki}, \beta_{li}) = \text{cov}(\beta_{ki}, \beta_{li}) / \left( \sqrt{\text{var}(\beta_{ki}) \text{var}(\beta_{li})} \right)$  where  $k$  and  $l$  refer  
 29 to rows in  $\beta_i$ .

30 To evaluate the validity of the parameter estimates and provide easy and  
 31 straightforward interpretation of each explanatory variable on the probability of yellow light  
 32 running, (point) elasticities for continuous variables and (arc) pseudo-elasticities for  
 33 categorical variables are calculated using the fitted model. Note that the mathematical  
 34 formulations of these elasticities are omitted for brevity purpose, and interested readers are  
 35 referred to Washington et al. (2020) for more details. While the elasticity measure indicates the

1 percentage effect of 1% change in a continuous explanatory variable on the yellow light  
2 running probability, the pseudo-elasticity is an arc elasticity that explains the percentage effect  
3 on the yellow light running probability of an indicator variable when its value changes from  
4 zero to one.

5 In an effort to justify the superiority of the adopted approach (i.e., a correlated grouped  
6 random parameters logit model with heterogeneity-in-means) over its competing approaches  
7 (e.g., an uncorrelated grouped random parameters logit model with heterogeneity-in-means and  
8 a fixed parameters logit model), likelihood ratio tests are conducted, whose statistic can be  
9 calculated as  $\chi^2 = -2[L_1 - L_2]$  (Washington et al., 2020), where  $L_1$  and  $L_2$  are the  
10 loglikelihood values at the convergences of two competitive models.  $\chi^2$  is chi-squared  
11 distribution with degrees-of-freedom corresponding to the difference of explanatory  
12 parameters between the two competitive models. In addition, goodness-of-fit measures such as  
13 AIC, which penalizes for additional parameters in the model, and McFadden pseudo  $\rho^2$  are  
14 employed for model comparison.

15 Specifying the best subset of explanatory variables that often includes main effects and  
16 potential interactions among them is challenging primarily because of limited prior knowledge  
17 of the underlying relationships. To this end, the analyst selects *a priori* second- and higher-  
18 order interaction effects and non-linearities associated with main effects in conventional  
19 approaches before the model estimation. However, it is practically impossible to cater for all  
20 the possible combinations of main effects and potential higher-order interaction effects that  
21 tend to grow geometrically and exponentially, respectively, with the number of ordinal and  
22 nominal variables (Haque et al., 2016a). This issue poses a problem of judiciously selecting  
23 and omitting variables in a model, which may lead to misspecification issues like omitted  
24 variable bias (Washington et al., 2020, Mannering et al., 2016, Mannering and Bhat, 2014).

25 To overcome this problem, this study employs a hybrid approach of data mining (i.e.,  
26 decision tree) and advanced econometric modeling approach (i.e., panel mixed logit model,  
27 more specifically, *the correlated grouped random parameters logit model with heterogeneity-*  
28 *in-means*). At the first level, a decision tree analysis, which is a non-parametric method to  
29 obtain possible interactions by classifying the observations in the predictor space in an iterative  
30 process, is performed. Various potential predictors can be identified during this decision tree  
31 classification, where each predictor receives various cut-off values. However, it is often  
32 reported that decision trees are often associated with *type I* error due to this multiplicity, making  
33 it hard to obtain proper inferences about the underlying relationships. Nevertheless, decision  
34 tree analysis can be used to obtain *a priori* knowledge obtained from tree branches and can  
35 assist in determining which interaction effects to include in the logit model. At the second  
36 stage, the model is estimated by considering relevant interactions from the decision tree. This  
37 combined approach allows the consideration of higher-order interaction effects (using decision  
38 tree) and makes inferences about model output (Washington et al., 2020, Haque et al., 2016a).

## 39 **4. Results**

### 40 **4.1 Decision tree**

41 We use a hybrid approach to determine relevant higher-order interaction effects in a systematic  
42 way using a data mining technique. More specifically, this study employed a decision tree  
43 based on Chi-Squared Automatic Interaction Detection (CHAID) algorithm using the ‘CHAID’

1 library in Python (Ramotowski and Fitzgerald, 2020). This algorithm constructs a tree from  
2 various possible combinations and divisions on the basis of chi-square tests with a  
3 corresponding  $p$ -value of less than 0.05. While the dependent variable is a binary outcome (i.e.,  
4 a driver deciding to stop at a signalized intersection or not) in the decision tree, the input  
5 variables are driving conditions, traffic operational variables, and driver demographics, as  
6 shown in Table 2. To construct the tree, a  $k$ -fold validation was performed, where we consider  
7  $k = 10$  to divide the entire dataset into 10 unique subsets, and each subset was used to assess  
8 the tree structure. In this process, each cycle used nine-tenths of the data to train the decision  
9 tree. The decision tree correctly classified 79% of cases using 19 numbered leaves (terminal  
10 nodes), see Figure 2. Driving condition reveals the highest information gain, and thus is located  
11 at the extreme left (or top) of the tree. Table 3 presents these 19 terminal nodes that serve as  
12 potential interaction terms for inclusion in vector  $\mathbf{w}$  in our econometric model.

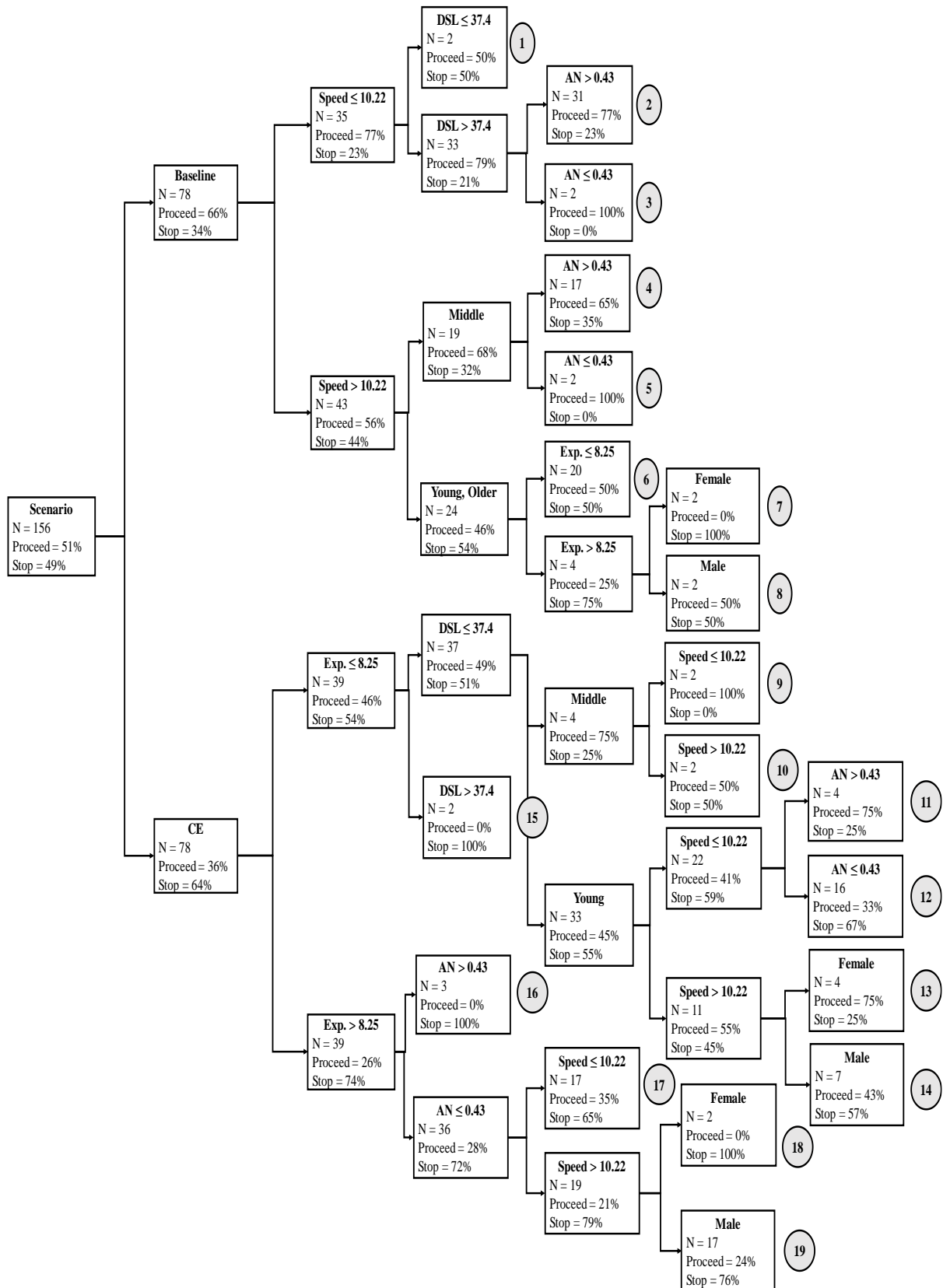
13 The decision tree classifies the driver decisions to stop or proceed by dividing the data  
14 into 37 smaller and homogenous groups, and their corresponding statistics are presented within  
15 each node (see Figure 2). The total number of cases reaching each node ( $N$ ) and the percentage  
16 of stopping and proceeding at the intersection for that particular node are listed in Figure 2. For  
17 instance, terminal node 1 indicates that about 50% of drivers in the baseline condition with  
18 speed  $\leq 10.22$  m/s and distance to the stop line  $\leq 37.4$  m are likely to proceed. Similarly,  
19 terminal node 8 implies that 50% of young (or older) male drivers in the baseline condition  
20 with experience  $> 8.25$  years are likely to stop. Terminal node 18 shows that 100% of female  
21 drivers in a connected environment with experience  $> 8.25$  years, acceleration noise  $\leq 0.43$   
22  $\text{m/s}^2$ , and speed  $> 10.22$  m/s will stop.

23 **Table 3.** Interaction effects obtained from the decision tree and their descriptions

| No. | Description  |
|-----|--|
| 1   | Drivers in the baseline condition with speed $\leq 10.22$ m/s and DSL $\leq 37.4$ m  |
| 2   | Drivers in the baseline condition with speed $\leq 10.22$ m/s, DSL $> 37.4$ m, and AN $> 0.43$ $\text{m/s}^2$                        |
| 3   | Drivers in the baseline condition with speed $\leq 10.22$ m/s, DSL $> 37.4$ m, and AN $\leq 0.43$ $\text{m/s}^2$                     |
| 4   | Middle-aged drivers in the baseline condition with speed $> 10.22$ m/s and AN $> 0.43$ $\text{m/s}^2$                                |
| 5   | Middle-aged drivers in the baseline condition with speed $> 10.22$ m/s and AN $\leq 0.43$ $\text{m/s}^2$                             |
| 6   | Young (or older) drivers in the baseline condition with experience $\leq 8.25$ years   |
| 7   | Young (or older) female drivers in the baseline condition with experience $> 8.25$ years   |
| 8   | Young (or older) male drivers in the baseline condition with experience $> 8.25$ years   |
| 9   | Middle-aged drivers in CE with experience $\leq 8.25$ years, speed $\leq 10.22$ m/s, and DSL $\leq 37.4$ m                           |
| 10  | Middle-aged drivers in CE with experience $\leq 8.25$ years, speed $> 10.22$ m/s, and DSL $\leq 37.4$ m                              |
| 11  | Young drivers in CE with experience $\leq 8.25$ years, DSL $\leq 37.4$ m, speed $\leq 10.22$ m/s, and AN $> 0.43$ $\text{m/s}^2$     |
| 12  | Young drivers in CE with experience $\leq 8.25$ years, DSL $\leq 37.4$ m, speed $\leq 10.22$ m/s, and AN $\leq 0.43$ $\text{m/s}^2$  |
| 13  | Young female drivers in CE with experience $\leq 8.25$ years, DSL $\leq 37.4$ m, speed $> 10.22$ m/s, and AN $> 0.43$ $\text{m/s}^2$ |
| 14  | Young male drivers in CE with experience $\leq 8.25$ years, DSL $\leq 37.4$ m, speed $> 10.22$ m/s, and AN $> 0.43$ $\text{m/s}^2$   |
| 15  | Drivers in CE with experience $\leq 8.25$ years and DSL $> 37.4$ m   |
| 16  | Drivers in CE with experience $> 8.25$ years and AN $> 0.43$ $\text{m/s}^2$  |
| 17  | Drivers in CE with experience $> 8.25$ years, AN $\leq 0.43$ $\text{m/s}^2$ , and speed $\leq 10.22$ m/s                             |
| 18  | Female drivers in CE with experience $> 8.25$ years, AN $\leq 0.43$ $\text{m/s}^2$ , and speed $> 10.22$ m/s                         |
| 19  | Male drivers in CE with experience $> 8.25$ years, AN $\leq 0.43$ $\text{m/s}^2$ , and speed $> 10.22$ m/s                           |

24 *CE, DSL, AN, Exp., and speed respectively denote connected environment, distance to the stop line at onset of a*  
25 *yellow light (m), acceleration noise ( $\text{m/s}^2$ ), experience (years), and speed at onset of a yellow light (m/s).*

26 Logit models with and without interaction effects were compared, and it was found that  
27 although both the models possess a reasonable explanatory power, the model with interaction  
28 effects outperformed the counterpart model based on goodness-of-fit statistics (AIC and  
29 McFadden's pseudo  $\rho^2$ ). Thus the model with interaction effects is considered hereafter.



1

2 *Note that numbers in circle indicate the interaction term; C.E., DSL, AN, Exp., and speed respectively denote*  
 3 *connected environment, distance to the stop line at the onset of a yellow light (m), acceleration noise (m/s<sup>2</sup>),*  
 4 *experience (years), and speed at the onset of a yellow light (m/s).*

5

**Fig. 2.** Decision tree schematic for the stop/proceed decision model

## 1 4.2 Model selection

2 Apart from estimating the correlated grouped random parameters logit with heterogeneity-in-  
 3 means (CGRPLHM) model, this study also estimated an uncorrelated grouped random  
 4 parameters logit with heterogeneity-in-means (UGRPLHM) model and a fixed parameters (FP)  
 5 model to evaluate the best performing model. To assess the overall statistical performance of  
 6 the estimated models, following metrics are used: the log-likelihood value at convergence,  $L$   
 7 (the higher the better), the log-likelihood value with only a constant,  $L_0$ , AIC (the smaller the  
 8 better), and McFadden's pseudo  $\rho^2$  (the larger the better).

9 Table 4 presents the statistical model fits for all three models. The AIC value is 190.4  
 10 in the FP model, which is decreased to 189.1 and 184.1, respectively, in the UGRPLHM and  
 11 CGRPLHM models, while the McFadden  $\rho^2$  is increased from 0.059 in the FP model to 0.153  
 12 in the CGRPLHM model. These statistics reflect the better performance of the CGRPLHM  
 13 model, which is also confirmed by performing likelihood ratio tests, and results are presented  
 14 in Table 4. Following observations can be made from these results: (a) both the UGRPLHM  
 15 and CGRPLHM models show better performance compared to the FP model at a 95%  
 16 confidence level; and (b) a higher  $\chi^2$  statistics (i.e., 9.1) is obtained when comparing the  
 17 UGRPLHM and CGRPLHM models, implying the superior performance of the CGRPLHM  
 18 model (the critical value is 5.99 with two degrees-of-freedom), further ensuring the  
 19 appropriateness of the CGRPLHM model for this study.

20 **Table 4.** Summary of statistical fits of the models considered in this study

| Candidate model   | $L_0$  | $L$    | $df$ | $\chi^2$ | AIC        | McFadden's pseudo $\rho^2$ |
|---|--------|--------|------|----------|------------|----------------------------|
| Fixed parameters model (FP)   | -92.13 | -88.20 | 7    | 7.86     | 190.4      | 0.042                      |
| Uncorrelated grouped random parameters logit<br>with heterogeneity-in-means model (UGRPLHM) | -92.13 | -82.56 | 12   | 19.14    | 189.1      | 0.104                      |
| Correlated grouped random parameters logit with<br>heterogeneity-in-means model (CGRPHM)    | -92.13 | -78.01 | 14   | 28.24    | 184.1      | 0.153                      |
| <b>Comparisons (<math>H_0 = \text{simpler model is better}</math>)</b>                      |        |        | $df$ | $\chi^2$ | $p$ -value | Remark                     |
| FP versus UGRPLHM   |        |        | 5    | 11.28    | 0.045      | UGRPLHM is superior        |
| FP versus CGRPLHM   |        |        | 7    | 20.38    | 0.004      | CGRPLHM is superior        |
| CGRPLHM versus UGRPLHM  |        |        | 1    | 9.10     | 0.002      | CGRPLHM is superior        |

21 *df: degrees-of-freedom*

## 22 4.3 Model interpretation

23 Table 5 presents the estimation results for the correlated grouped random parameters logit with  
 24 heterogeneity-in-means model fitted to the driver decisions of proceeding through the  
 25 intersection at the onset of yellow light. The dummy variable for the connected environment  
 26 and distance to the stop line variables are found to be random and normally distributed, which  
 27 is consistent with the literature (Ali et al., 2020d, Fountas et al., 2019). Moreover, unobserved  
 28 heterogeneity in the connected environment is associated with gender. The non-random  
 29 parameters in the model are speed at the onset of yellow light, acceleration noise, dummy  
 30 variables for young and older drivers. Systematic utility function (1) can be written as

$$\begin{aligned}
V = & -0.946 \\
& + \beta_{CE} \times CE + 0.224 \times \text{speed} - 1.685 \times \text{acc. noise} + \beta_{DSL} \times \text{distance to the stop line} \\
& + 0.6 \times \text{YoungDriver} - 0.972 \times \text{OlderDriver} \\
& + 0.914 \times \text{Interaction Term 2} - 1.262 \times \text{Interaction Term 12},
\end{aligned} \tag{5}$$

where the first line contains the constant, the second line the traffic operational variables, the third line the sociodemographic variables, the fourth line the interaction terms, and where

$$\begin{pmatrix} \beta_{CE} \\ \beta_{DSL} \end{pmatrix} = \begin{pmatrix} -0.889 \\ -0.024 \end{pmatrix} + \begin{pmatrix} 0.841 \\ 0 \end{pmatrix} \times \text{FemaleDriver} + \begin{pmatrix} 2.531 & 0 \\ -0.26 & 0.058 \end{pmatrix} \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix} \tag{6}$$

is the specified correlation structure between random parameters with  $\varphi_1$  and  $\varphi_2$  be the independent standard normally distributed random variables.

The diagonal and below diagonal elements of Cholesky matrix for each random parameter are given in Table 5. The standard deviation of each random parameter can be calculated as the square root of the variance (elements on the diagonal of the variance-covariance matrix, which can be calculated as  $\mathbf{\Omega}\mathbf{\Omega}'$ ). For instance, the standard deviations of the connected environment and distance to the stop line variables are calculated as  $\sqrt{6.405} = 2.531$  and  $\sqrt{0.071} = 0.266$ , respectively.

**Table 5.** Estimation results of the correlated grouped random parameters logit with heterogeneity-in-mean model

| Variable  | estimate | s.e.  | z-value | p-value | elasticity | 95% CI of estimate |        |
|---|----------|-------|---------|---------|------------|--------------------|--------|
|   |          |       |         |         |            | lower              | upper  |
| <b>Non-random parameters</b>                          |          |       |         |         |            |                    |        |
| Constant  | -0.946   | 0.329 | -2.87   | 0.004   | —          | —                  | —      |
| Speed at onset of a yellow light                      | 0.224    | 0.101 | 2.20    | 0.027   | 1.607      | 0.026              | 0.421  |
| Acceleration noise                                    | 1.685    | 0.742 | 2.27    | 0.023   | 4.376      | 0.231              | 3.139  |
| Young driver  | 0.600    | 0.303 | 1.98    | 0.048   | 0.189      | 0.001              | 1.193  |
| Older driver  | -0.972   | 0.421 | -2.31   | 0.021   | -0.131     | -1.797             | -0.148 |
| Interaction term 2                                    | 0.914    | 0.404 | 2.26    | 0.023   | 0.156      | 0.122              | 1.705  |
| Interaction term 12                                   | -1.262   | 0.627 | -2.01   | 0.044   | -0.080     | -2.490             | 0.033  |
| <b>Random parameters</b>                              |          |       |         |         |            |                    |        |
| Connected env. (mean)                                 | -0.889   | 0.440 | -2.02   | 0.043   | -0.346     | -1.751             | -0.026 |
| Distance to stop line (mean)                          | -0.024   | 0.012 | -2.00   | 0.045   | -0.453     | -0.048             | -0.001 |
| <b>Diagonal values in Cholesky matrix</b>             |          |       |         |         |            |                    |        |
| Connected env.  | 2.531    | 1.145 | 2.21    | 0.027   | —          | —                  | —      |
| Distance to stop line                                 | 0.260    | 0.082 | 3.17    | <0.001  | —          | —                  | —      |
| <b>Below diagonal values in Cholesky matrix</b>       |          |       |         |         |            |                    |        |
| Distance to the stop line: connected environment      | 0.058    | 0.014 | 4.14    | <0.001  | —          | —                  | —      |
| <b>Heterogeneity in mean of connected environment</b> |          |       |         |         |            |                    |        |
| Female  | 0.841    | 0.403 | 2.08    | 0.037   | 0.125      | 0.051              | 1.631  |

$L = -78.01$ ;  $L_0 = -92.13$ ; Likelihood ratio = 28.24 ( $p$ -value < 0.001); McFadden pseudo  $\rho^2 = 0.153$ ; AIC = 184.1; No. of observations = 156; No. of groups = 78; Group size = 2



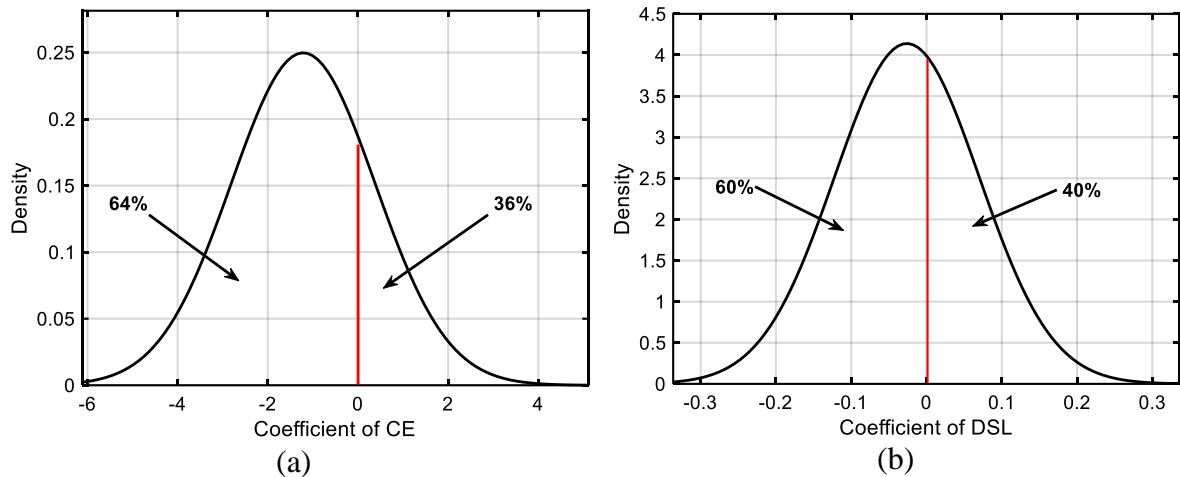
1            *Speed at the onset of the yellow light* is a significant predictor and positively associated  
2 with driver decisions (Table 5). The model suggests that drivers are more likely to proceed  
3 through the intersection with an increased speed. More specifically, with every one percent  
4 increase in the speed, the probability of proceeding through the intersection at the onset of the  
5 yellow light increases by 1.61%. This finding is intuitive because drivers with higher speeds  
6 often think that they can cross the intersection without red light violations and do not want to  
7 disrupt their continuous motion by braking hard to stop before the stop line.

8            *Acceleration noise* (or variation) has a significant and positive impact on driver  
9 decisions at the onset of the yellow light, as shown in Table 5. Results reveal that drivers with  
10 higher acceleration noise are more likely to proceed at the onset of the yellow light, with the  
11 probability of yellow light running increases by 4.38% with every one percent increase in the  
12 acceleration noise. This result implies that reckless drivers, indicated by higher acceleration  
13 noise, have a higher tendency to proceed through the intersection at the onset of the yellow  
14 light.

15            *Young and older drivers* have a higher and a lower propensity of proceeding through  
16 the intersection at the onset of the yellow light compared to middle-aged drivers (Table 5),  
17 respectively. More specifically, compared to middle-aged drivers, the probability of yellow  
18 light running increases and decreases by 0.19% and 0.13%, respectively, for young and older  
19 drivers. As it is well-known that younger drivers are often risk-takers, and older drivers are  
20 conservative (Ali et al., 2019a), our findings are intuitive and in line with the literature. We  
21 further elaborate on these findings in detail in the next section.

22            Apart from the main effects, the developed model contains two interaction terms.  
23 *Interaction term 2* shows that drivers in the baseline condition with speed  $\leq 10.22$  m/s, distance  
24 to the stop line  $> 37.4$  m, and acceleration noise  $\leq 0.43$  m/s<sup>2</sup> are more likely to proceed through  
25 the yellow light at the intersection, with the corresponding increase in the probability of 0.16%  
26 (Table 5). Similarly, *interaction term 12* indicates that young drivers in the connected  
27 environment with speed  $\leq 10.22$  m/s, distance to the stop line  $\leq 37.4$  m, acceleration noise  $>$   
28  $0.43$  m/s<sup>2</sup>, and experience  $\leq 8.25$  years have a lower propensity of proceeding through the  
29 intersection at the onset of yellow light.

30            Table 5 indicates that the mean ( $z$ -stats = -2.02;  $p$ -value = 0.043) and standard deviation  
31 ( $z$ -stats = 3.89;  $p$ -value < 0.001) of the connected environment dummy variable are statistically  
32 significant. Figure 3(a) shows the distribution of the connected environment's coefficients,  
33 reflecting a significant heterogeneity in driver decisions in the connected environment where  
34 according to Figure 3(a), the probability of yellow light running decreases for most drivers  
35 (64%), but not necessarily for all. This finding implies that driver decisions in the connected  
36 environment are not monotonous, as there exist two classes of drivers: one who stops before  
37 the stop line and one who proceeds through the intersection at the yellow light. This result  
38 suggests that not all the drivers use the advance information provided by the connected  
39 environment in the same way, as some drivers use this information to stop prior to the stop line,  
40 reflecting their safer behavior, while others use it in a counterproductive manner and proceed  
41 through the intersection.



1 **Fig. 3.** Distributions of coefficients of (a) the connected environment; (b) Distance to stop  
 2 line; note that the distribution of coefficients is obtained by keeping one of the two random  
 3 parameters fixed at the mean value

4 We also find that heterogeneity in driver decisions in the connected environment is  
 5 related to gender (Table 5). More specifically, female drivers reveal a higher likelihood of  
 6 proceeding through the intersection at the onset of the yellow light in the connected  
 7 environment with the probability of 0.13% compared to male drivers.

8 Table 5 also reveals that not just the mean of the distance to the stop line is statistically  
 9 significant ( $z$ -stats = -2.00;  $p$ -value = 0.045), but also its standard deviation ( $z$ -stats = 4.10;  $p$ -  
 10 value < 0.001), indicating a significant heterogeneity in driver decisions corresponding to the  
 11 distance to the stop line. Figure 3(b) shows the existence of heterogeneity where the probability  
 12 decreases for most drivers (60%), but not for all. The negative sign of the distance to the stop  
 13 line variable implies that when this distance increases, the probability of proceeding through  
 14 the intersection decreases, which is intuitive because drivers have a large distance to safely  
 15 stop before the stop line. On the other hand, this probability increases for some drivers who  
 16 tend to be aggressive and often accelerate to proceed through the intersection at the onset of  
 17 yellow light.

18 Table 5 also presents the diagonal and below diagonal elements of Cholesky matrix,  
 19 which can be used to calculate variance-covariance of the correlated random parameters and  
 20 thereby assist in calculating the correlation coefficient between two random parameters (see  
 21 detailed calculations in Section 3.3). We find that distance to the stop line and connected  
 22 environment are statistically correlated at a 5% significance level ( $t$ -stats = 3.13;  $p$ -value =  
 23 0.001) with a covariance of -0.66 and a correlation coefficient of 0.27. Note that  $t$ -stats is  
 24 calculated following the post-estimation technique presented in (Fountas et al., 2018a), and for  
 25 mathematical details, we refer interested readers to their study. It is worth noting that the  
 26 correlation between random parameters suggests the existence of interactions of unobserved  
 27 characteristics associated with the explanatory variables with correlated random parameters  
 28 (Huo et al., 2020). More specifically, a positive correlation of random parameters implies  
 29 homogeneous effects of unobserved characteristics of driver decisions to stop or proceed,  
 30 whereas a negative correlation of random parameters suggests mixed effects of unobserved  
 31 characteristics on driver decisions. In this study, we find a positive correlation between distance  
 32 to the stop line and connected environment, reflecting a homogeneous effect of the unobserved  
 33 characteristics associated with driver decisions related to distance to the stop line in the

connected environment. In other words, an increase in the effect of the distance to the stop line (represented by  $\beta_{DSL}$ ) in the connected environment would increase the probability of running the yellow light because of unobserved heterogeneity associated with these two variables. This result implies that drivers receive information in advance about traffic light change and use such information to navigate through the intersection, reflecting that they are aware of the time left for the signal to turn red, and they decide to cross the intersection in the given time without causing a red light violation.

## 5. Discussion

### 5.1 Driver decisions in the connected environment

Driver decisions and subsequent actions approaching a signalized intersection are regarded as critical because of their direct implications on traffic safety (Papaioannou, 2007). An uncertainty in driver decisions may cause a rear-end collision (if a driver decides to stop and applies sudden hard braking) or angle collision (if the driver decides to proceed). This uncertainty mainly arises when a traffic light suddenly changes, and the driver finds him/herself in the dilemma zone. To this end, a connected environment provides advance information that is expected to minimize (if not completely eliminate) the uncertainty associated with driver decision-making. As such, the developed model can provide insights into the probabilities of drivers' running the yellow light as a function of driving condition, traffic operational variables, and driver demographics. More specifically, the probabilities can be calculated using the parameter estimates reported in Table 5 together with the mean values of the continuous explanatory variables and reference category for categorical variables. Note that the probabilities obtained from Equations (7) and (8) and depicted in Figure 4 are calculated for the reference category participants in the baseline and connected environment driving conditions, reflecting the average probabilities for middle-aged male drivers. The predicted probability for drivers' running the yellow light in the baseline (without advance information) for a driving speed of 9 m/s can be computed as follows:

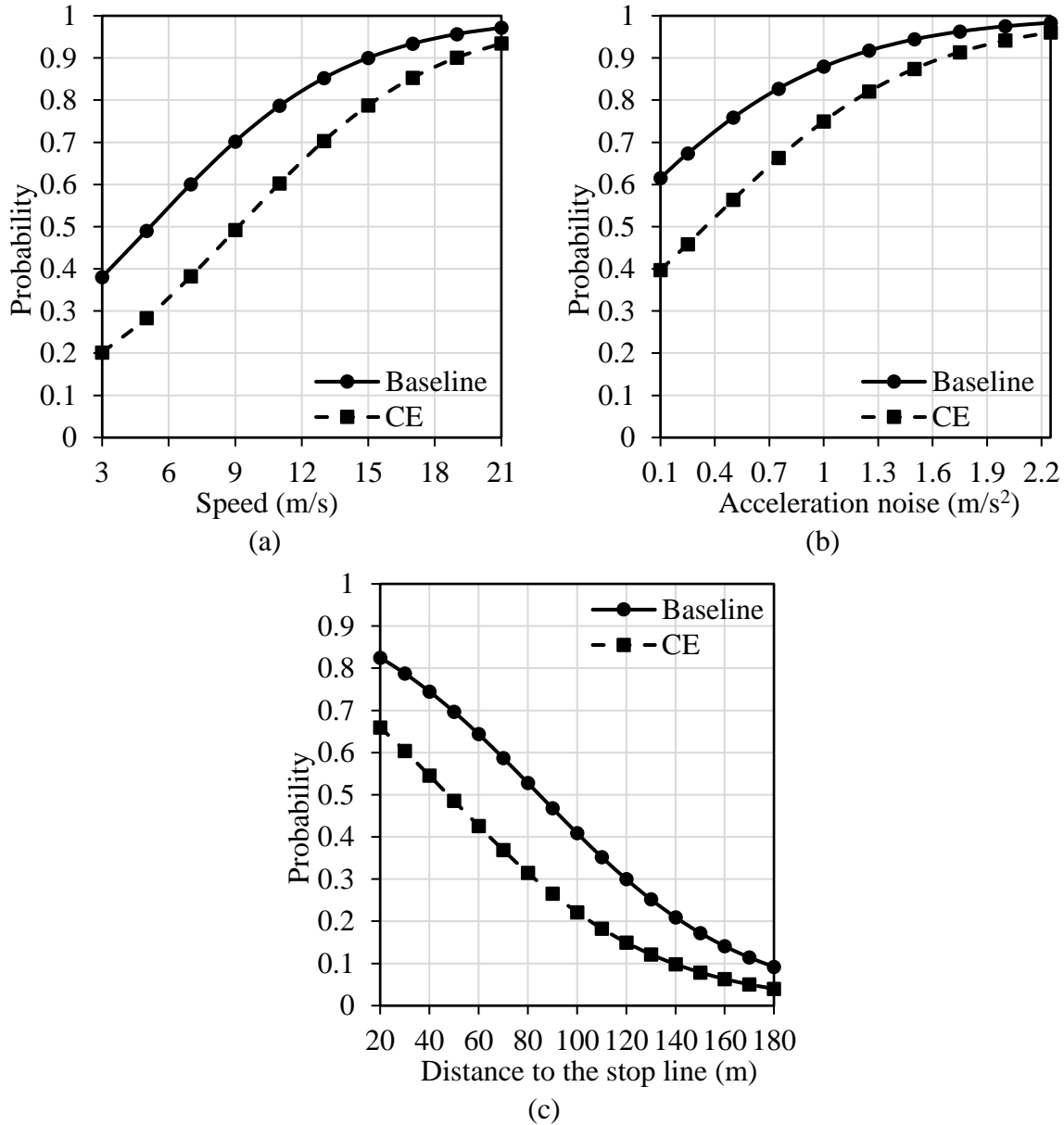
$$p_{\text{Baseline}} = \frac{\exp(-0.946 + 0.224 \times 9 + 1.685 \times 0.45 + 0.6 \times 0 - 0.972 \times 0 - 0.024 \times 40.47 + 0.914 \times 0 - 1.262 \times 0 + (-0.889 \times 0 + 0.841 \times 0))}{1 + \exp(-0.946 + 0.224 \times 9 + 1.685 \times 0.45 + 0.6 \times 0 - 0.972 \times 0 - 0.024 \times 40.47 + 0.914 \times 0 - 1.262 \times 0 + (-0.889 \times 0 + 0.841 \times 0))} = 0.70 \quad (7)$$

Similarly, the corresponding probability for the connected environment can be computed as follows:

$$p_{\text{CE}} = \frac{\exp(-0.946 + 0.224 \times 9 + 1.685 \times 0.45 + 0.6 \times 0 - 0.972 \times 0 - 0.024 \times 40.47 + 0.914 \times 0 - 1.262 \times 0 + (-0.889 \times 1 + 0.841 \times 0))}{1 + \exp(-0.946 + 0.224 \times 9 + 1.685 \times 0.45 + 0.6 \times 0 - 0.972 \times 0 - 0.024 \times 40.47 + 0.914 \times 0 - 1.262 \times 0 + (-0.889 \times 1 + 0.841 \times 0))} = 0.49 \quad (8)$$

The probabilities of running the yellow light for the speed of 9 m/s (or, approximately 30 km/h) are respectively 70% and 49% for the baseline and connected environment (Figure 4(a)), suggesting a 21% reduction in the probability, which is attributed to the advance availability of the traffic signal information in the connected environment. This result further highlights the benefits of the connected environment in assisting drivers to make safer and informed decisions. Interestingly, the benefit of advance information is found to be a function of driver's approaching speed, i.e., the lower the speed, the higher the benefit (in other words, a higher reduction in the probability of yellow light running, see Figure 4(a)). This can be

1 explained by the fact that when drivers are driving at higher approaching speeds, they tend to  
 2 utilize the information presented by the connected environment to traverse the intersection,  
 3 keeping in mind the time left for the signal to turn red from green. A similar interpretation can  
 4 made for the relationship of acceleration noise with the probability of yellow light running (see  
 5 Figure 4(b) for more details).



6 **Fig. 4.** Probabilities of running the yellow light in different conditions as a function of (a)  
 7 speed at the onset of the yellow light; (b) acceleration noise; and (c) distance to the stop line

8 Some previous studies also highlighted the benefits of the connected environment. For  
 9 instance, Sharma et al. (2020a) reported that advance information disseminated via a connected  
 10 environment provided additional time to drivers in a hard-braking event, where drivers were  
 11 found to decelerate smoothly. In another study where drivers were given advance information  
 12 about congestion ahead, it was found that drivers performed discretionary lane-changing earlier  
 13 with a higher safety margin in a connected environment (Ali et al., 2020c). In line with these  
 14 studies, we also observe that the connected environment assists most of the drivers to comply  
 15 with the traffic lights.

1 Drivers' approaching speeds have been repeatedly noted in the literature as a  
 2 contributing factor to their decisions of stopping or proceeding at the onset of the yellow light.  
 3 In general, drivers tend to drive as close as possible to the posted limit, but in some cases, they  
 4 may violate the posted speed limit, and in turn, find themselves in a dilemma to stop or proceed.  
 5 On the contrary, the connected environment provides event-based warning information  
 6 whenever a driver exceeds the posted speed limit, which may result in selecting a lower driving  
 7 speed. To examine whether any speed reduction is observed in our dataset, drivers'  
 8 approaching speeds to the signalized intersection are tested and compared between two driving  
 9 conditions using a paired  $t$ -test, as used in our previous studies (Ali et al., 2018, Haque et al.,  
 10 2016b). Results reveal that the difference in the approaching speed at the onset of the yellow  
 11 light is statistically significant ( $t = 3.56$ ,  $p$ -value = 0.03) between two driving conditions. More  
 12 specifically, the mean speeds at the onset of the yellow light in the baseline and connected  
 13 environment driving conditions are respectively 10.16 m/s and 9.65 m/s. Drivers, on average,  
 14 are found to drive 0.5 m/s slower while driving in the connected environment.

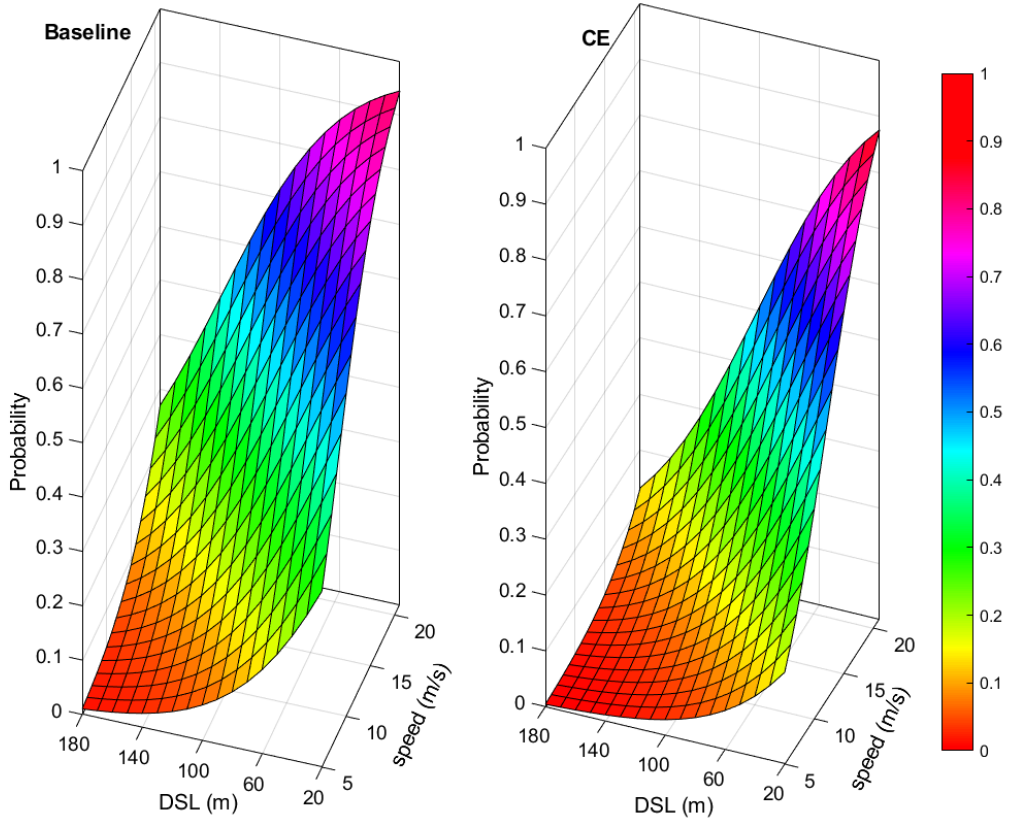
15 **Table 6.** Approaching speed selection of different driver groups at the onset of a yellow light

| Speed (m/s)      | Driving condition |       | Significance by a paired $t$ -test | Remark          |
|------------------|-------------------|-------|------------------------------------|-----------------|
|                  | Baseline          | CE    |                                    |                 |
| All drivers      | 10.12             | 9.66  | $t = 3.56$ , $p$ -value = 0.03     | Significant     |
| <b>Age group</b> |                   |       |                                    |                 |
| Young            | 10.05             | 9.76  | $t = 1.01$ , $p$ -value = 0.21     | Not significant |
| Middle-aged      | 10.38             | 9.44  | $t = 4.41$ , $p$ -value = 0.02     | Significant     |
| Older            | 9.65              | 10.07 | $F = 0.61$ , $p$ -value = 0.30     | Not significant |
| <b>Gender</b>    |                   |       |                                    |                 |
| Male             | 10.27             | 9.62  | $t = 3.25$ , $p$ -value = 0.04     | Significant     |
| Female           | 9.95              | 9.73  | $t = 0.53$ , $p$ -value = 0.32     | Not significant |

16 *CE: connected environment*

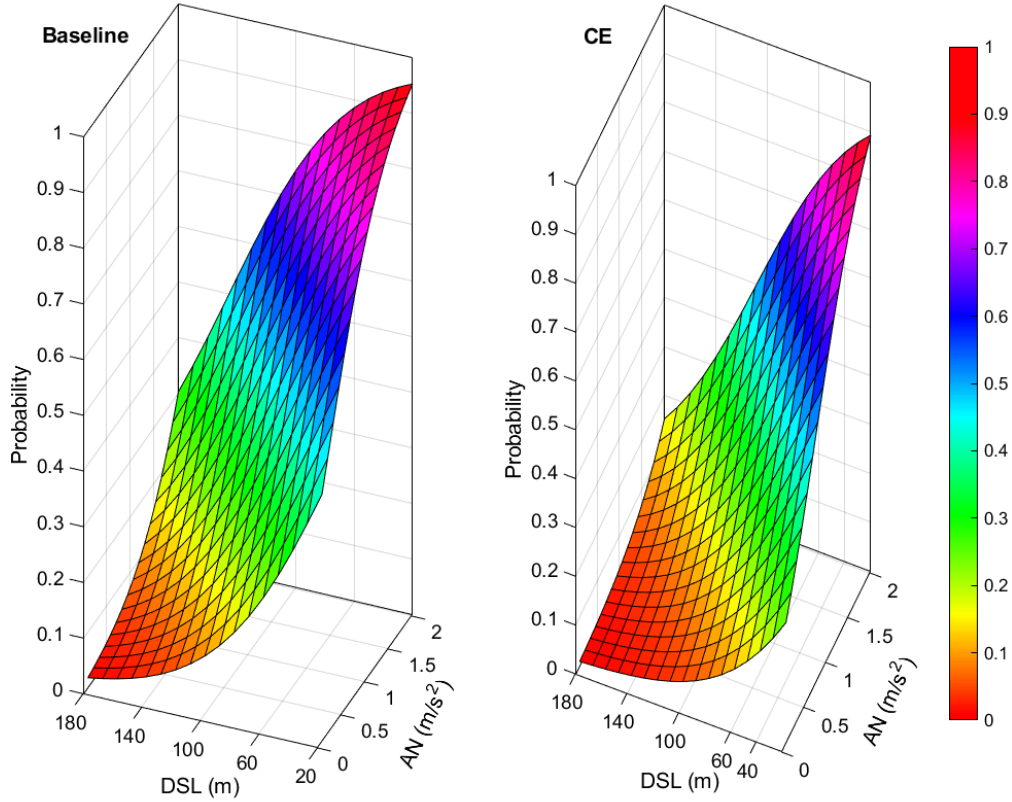
17 Figures 4(b) and 4(c) display the probability of yellow light running in the baseline and  
 18 connected environment driving conditions corresponding to different acceleration noise and  
 19 distance to the stop line values, respectively, and these probabilities can be interpreted in a  
 20 similar manner.

21 Furthermore, using the developed model, probability surface plots are generated as a  
 22 function of distance to the stop line, speed at the onset of yellow light, and acceleration noise,  
 23 while controlling for other exogenous variables. To illustrate the impact of driving conditions,  
 24 probability surfaces specific to the driving condition (e.g., baseline and connected  
 25 environment) are developed and presented in Figure 5. These plots clearly highlight how under  
 26 the connected environment condition, the probability of yellow light running drops  
 27 significantly with higher distance and lower speeds, compared to that of the baseline condition  
 28 (Figure 5(a)). Similarly, with lower distance and higher acceleration noise, the probability of  
 29 yellow light running reduces significantly in the connected environment compared to the  
 30 baseline condition (Figure 5(b)). These results imply the effectiveness of the connected  
 31 environment in reducing the likelihood of yellow light running, thereby improving safety.



1  
2

(a) Speed and distance to the stop line (DSL)



3  
4  
5

(b) Acceleration noise (AN) and distance to the stop line (DSL)

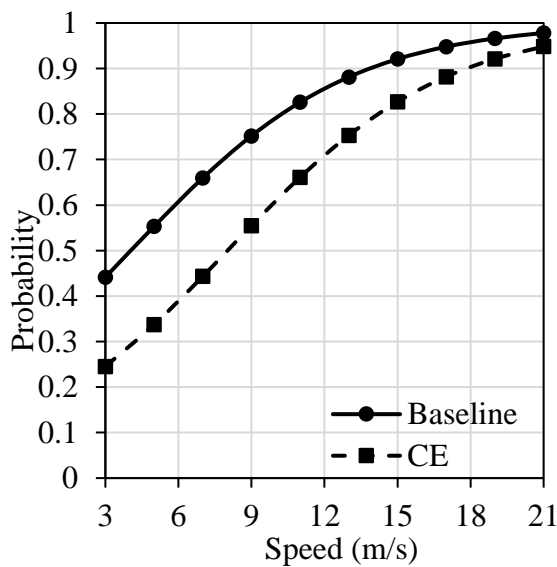
**Fig. 5.** The impact of interaction effects on the probability of yellow light running

## 1 5.2 Impact of driver demographics on the probability of yellow light running

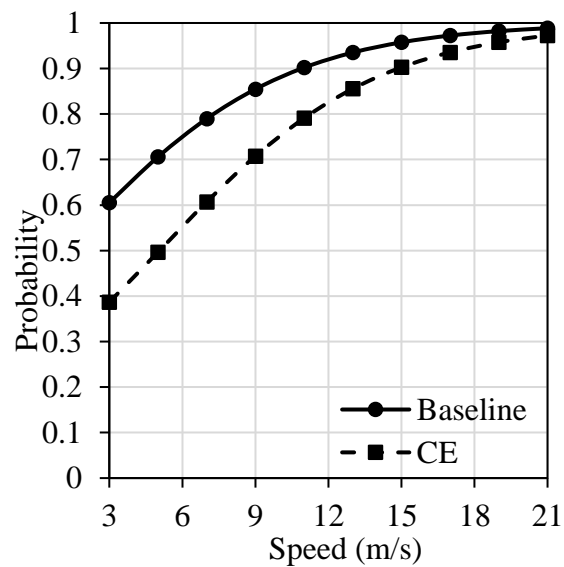
### 2 5.2.1 Driver age

3 Figure 6 displays the probability of yellow light running across all age groups. It can be  
4 observed that the probabilities tend to increase for all age groups with the increase in  
5 approaching speed for both the scenarios, while a higher likelihood of running the yellow light  
6 is found in the baseline driving condition. For instance, the probability of yellow light running  
7 for young drivers in the baseline driving condition at 9 m/s is 75% (Figure 6(a)), while at the  
8 same approaching speed, the probability of yellow light running for young drivers in the  
9 connected environment is 55%, suggesting a 20% reduction in yellow light running. This  
10 reduction in the probability can be attributed to the slower approaching speed selection of  
11 young drivers in the connected environment. More specifically, young drivers' approaching  
12 speeds were 0.3 m/s lower (but not statistically significant) in the connected environment  
13 compared with the speed in the baseline condition (Table 6). In general, young drivers have  
14 repeatedly been noted as risky drivers in the literature (Montgomery et al., 2014, Leung and  
15 Starmer, 2005), as they have the propensity to proceed through the intersection at the onset of  
16 the yellow light either by increasing their speed or causing a red light violation (Yang and  
17 Najm, 2006). The connected environment, however, has been found to reduce such risky  
18 behavior of young drivers by providing advance information related to traffic light change, as  
19 found in this study.

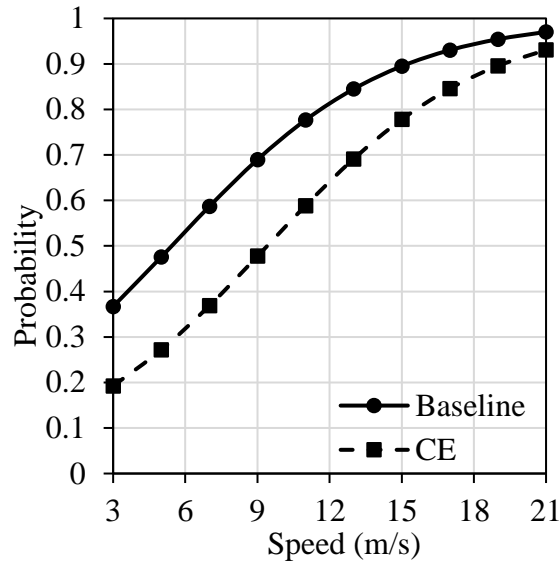
20 Middle-aged drivers appear to have a lower propensity for yellow light running in the  
21 connected environment. In particular, we find that middle-aged drivers show about a 15%  
22 reduction in the probability of yellow light running at the speed of 9 m/s in the connected  
23 environment compared to the baseline condition (Figure 6(b)). In line with this finding, the  
24 approaching speed of middle-aged drivers in the connected environment is found to be 1 m/s  
25 lower, which could be one of the reasons for this age group of drivers' decreased yellow light  
26 running probabilities. As noted in Khatoun et al. (2013), middle-aged drivers are less risky  
27 compared to young drivers, and they are more likely to take better advantage of the available  
28 information (Ali et al., 2019a). Consistent with the literature, this study finds that middle-aged  
29 drivers' probability of yellow light running is further decreased in the connected environment.



(a) Young drivers



(b) Middle-aged drivers



(c) Older drivers

**Fig. 6.** Impact of the connected environment (CE) on the probability of yellow light running of different age groups

As reported in the literature, older drivers, in general, take more time in processing information, deciding, and taking safe actions to avoid potential safety-critical events (Preusser et al., 1998). Also, when driving without advance information, these drivers are more likely to proceed through an intersection at the onset of the yellow light (Caird et al., 2007). However, this study demonstrates that the probability of yellow light running of older drivers can be significantly decreased in the connected environment when they are assisted with advance information. Such information provides additional time to older drivers, which in turn, they use for making better and safer decisions related to when they should stop, they stop safely, and when they should pass through the intersection, they pass through it efficiently. Although the approaching speed of older drivers is about 0.4 m/s higher (but not statistically significant) in the connected environment, they appear to utilize the information and stop before the stop line more often. For instance, the probability of yellow light running in the baseline condition at the speed of 9 m/s is 68%, while the corresponding probability in the connected environment is 47% (Figure 6(c)), implying a 21% reduction in the yellow light running.

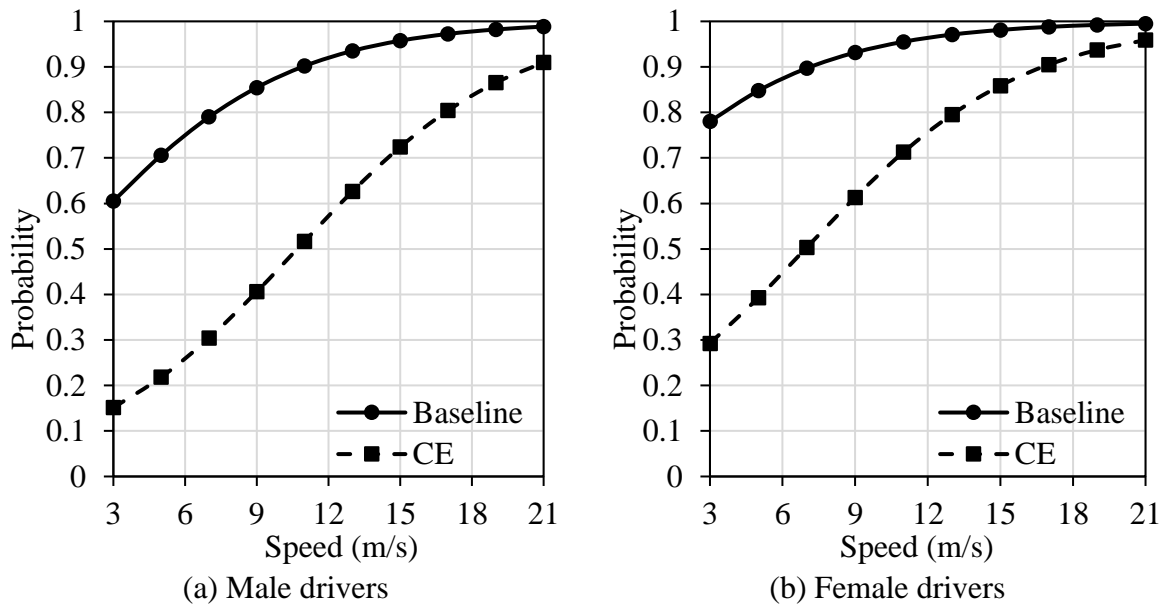
In summary, the connected environment has shown to reduce the probability of yellow light running across all age groups, whereas the older age group has been found to take the most advantage of available information compared to other age groups. To support this argument, the area between curves for the baseline and connected environment is calculated for each age group. The areas for young, middle-aged, and older drivers are 1.52, 0.76, and 1.81, respectively, implying that older drivers benefit more from the connected environment. This finding corroborates with some of the existing literature (Caird et al., 2008, Kramer et al., 2007), suggesting that older drivers are likely to benefit more from the in-vehicle information systems compared to other age groups.

### 5.2.2 Drivers' gender

Figure 7 represents the probability of yellow light running for both male and female drivers. Note that these probabilities are calculated using Equations (7) and (8), but the only difference is that the heterogeneity in the connected environment is defined for female drivers by setting



1 the dummy variable for female as one. As shown in Figure 7, both male and female drivers  
 2 appear to reduce their yellow light running probabilities in the connected environment across  
 3 the whole speed range, with the corresponding probability decrease on average being about  
 4 23% and 31%, respectively for male and female drivers in the connected environment  
 5 compared to the baseline driving condition. The connected environment has been found to  
 6 provide more advantage to male drivers compared to their female counterparts. For instance,  
 7 the probability reduction for female drivers in the connected environment compared with the  
 8 baseline condition at 9 m/s is about 32% (Figure 7(a)), while the corresponding reduction for  
 9 male drivers is about 45% (Figure 7(b)), implying that male drivers appear to better utilize the  
 10 advance information from the connected environment. This is also supported by the large area  
 11 between curves for the baseline and connected environment for male drivers (i.e., 5.80)  
 12 compared to female drivers (i.e., 4.22). Although previous research has also documented a  
 13 higher propensity of yellow light running of female drivers when they are driving without  
 14 driving assistance systems (Yang and Najm, 2006), such higher propensity appears to be  
 15 reduced when female drivers are assisted with advance information in the connected  
 16 environment.



17 **Fig. 7.** Probability of yellow light running for gender type; *CE: connected environment*

18 Furthermore, this study finds that the approaching speed of male drivers in the  
 19 connected environment is about 0.65 m/s lower (and statistically significant) than that in the  
 20 baseline condition, while the corresponding reduction in the speed of female drivers is about  
 21 0.22 m/s (statistically insignificant, though). This result also substantiates that the connected  
 22 environment assists drivers in making better decisions, as they tend to reduce their speeds  
 23 significantly, avoiding being in the dilemma zone, where drivers can neither cross the  
 24 intersection without causing red light violations nor stop before the stop line without applying  
 25 hard braking (Haque et al., 2016a, Papaioannou, 2007).

## 26 6. Conclusions

27 This study examined driver stop/go decisions at the onset of yellow lights at signalized  
 28 intersections when they are assisted with advance information of traffic light change provided  
 29 by a connected environment. Data related to driver decisions were obtained from the CARRS-

1 Q Advanced Driving Simulator. A hybrid framework of decision tree and a panel mixed logit  
2 model (more specifically, correlated grouped random parameters logit with heterogeneity-in-  
3 means approach) leveraged the strengths of both these approaches, as the former approach  
4 heuristically provides information about unknown relationships while the latter approach has  
5 the ability to test the significance of observed effects by capturing unobserved heterogeneity  
6 associated with driver decisions as well as the correlation between random parameters.  
7 Modeling results revealed that although the majority of drivers in the connected environment  
8 decide to stop at the onset of the yellow signal, there also exists a class of drivers who decide  
9 to proceed through the intersection in the connected environment. Results also uncovered that  
10 such heterogeneity is associated with gender, as male drivers are less likely to proceed at the  
11 onset of the yellow light in the connected environment compared to female drivers. Moreover,  
12 by allowing the correlation between random parameters, it was found that with a higher  
13 distance to the stop line in the connected environment, the probability of yellow light running  
14 may increase. Furthermore, the speed selection behavior of drivers to approach a signalized  
15 intersection was found to be significantly influenced by the connected environment. In general,  
16 drivers in the connected environment appeared to select relatively lower approaching speeds.  
17 More specifically, young and middle-aged drivers selected lower speeds in the connected  
18 environment resulting in a lower probability of yellow light running, unlike older drivers who  
19 were found to take the most advantage of the advance information compared to other age  
20 groups. Meanwhile, both male and female drivers selected lower approaching speeds, and their  
21 probabilities of yellow light running also reduced in the connected environment.

22 As this study analyzed the effects of a connected environment at signalized  
23 intersections for different driver demographics, the resulting impact should be viewed with  
24 respect to the age groups and gender within the sample of this study. Note that the age groups  
25 considered in this study are aligned with Australian guidelines and some past studies (Tränkle  
26 et al., 1990, Zhang et al., 1998, Makishita and Matsunaga, 2008, Cheung and McCartt, 2011,  
27 .idcommunity, 2016). However, given the discrepancy in the definition of age groups in the  
28 literature (Thompson et al., 2012), future studies can examine whether the impact of the  
29 connected environment is sensitive to age group definition. Furthermore, we made significant  
30 efforts in ensuring the realistic representativeness of the general population in our participant  
31 recruitment; however, it can be observed that the cohort of participants is skewed towards  
32 young and male drivers. Future studies can try to maintain an equal ratio of different age groups  
33 and gender to obtain a full picture of the connected environment's impact on driver decisions  
34 at signalized intersection. In particular, the effects of the connected environment on drivers  
35 aged more than 65 years needs to be studied

36 Although this study employed decision tree analysis to systematically obtain higher  
37 order interactions to investigate the complex interactions of driver gender, age group, driving  
38 conditions, and traffic operational variables, many of these interaction effects were found to be  
39 insignificant and thus dropped (except for two interactions) from the parsimonious model. This  
40 restricts the current study from analysing the effects on the connected environment for driver  
41 characteristics, such as young female drivers versus young male drivers, etc. A possible reason  
42 for such insignificance could be the small sample size. As such, it is recommended for future  
43 studies to collect data from more participants to gain more insights into higher-order  
44 interactions and the probability of yellow light running. Note that although the probabilities of  
45 yellow light running are calculated using the adopted approach (i.e., random parameters with

1 heterogeneity-in-means), this study only uses mean values of the random parameters. As future  
2 work, a simulation-based approach could be employed to obtain more insights about the  
3 probabilistic nature of driver decisions at the onset of yellow light.

4 Furthermore, as the time of dissemination of advance information about traffic light  
5 change was fixed in the connected environment, more research is required to understand the  
6 relationship of varying time with the effectiveness of the connected environment. It will be  
7 interesting to see whether driver decisions can change with change in the time when the  
8 information is provided. As noted in the literature, driver decisions at the onset of the yellow  
9 light are a function of driver's position in a traffic stream. To minimize the confounding factors,  
10 this study intentionally did not place other traffic in the direction of travel, which would have  
11 restricted us to investigate the effect of driver's position in the traffic stream on driver decisions  
12 combined with the promise of a connected environment. Investigating such effects will allow  
13 us to develop a relationship of the degree of effectiveness of a connected environment with  
14 driver's position in the traffic stream. This study considered a fixed threshold of 5 s for  
15 changing the traffic light in the driving simulator experiment to avoid confounding factors in  
16 the analysis. It would be interesting to examine driver decisions' sensitivity with respect to  
17 different time gaps to the stop line. Findings from such an exercise will add new insights into  
18 how the behavioral response towards the yellow light dilemma may be adjusted in the highly  
19 anticipated connected vehicle environment. In addition, this study is only concerned with an  
20 uninterrupted supply of information aids from a connected environment; however, the  
21 information supply could be impaired, such as communication delay, and the study of the  
22 effects of such impaired communication merits a research pursuit.

### 23 **Acknowledgments**

24 The authors would like to acknowledge Mr. Andrew Haines for programming the simulator  
25 experiment, and the help of Dr. Anshuman Sharma and Dr. Mohammad Saifuzzaman in the  
26 experiment design and data collection. This research is partly funded by Australian Research  
27 Council grants DE160100449 and LP160101021.

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