

Queensland University of Technology

Brisbane Australia

This may be the author's version of a work that was submitted/accepted for publication in the following source:

Debnath, Ashim, Chin, Hoong Chor, & Haque, MD. Mazharul (2011)

Modelling port water collision risk using traffic conflicts. *Journal of Navigation*, *64*(04), pp. 645-655.

This file was downloaded from: https://eprints.gut.edu.au/50702/

© Consult author(s) regarding copyright matters

This work is covered by copyright. Unless the document is being made available under a Creative Commons Licence, you must assume that re-use is limited to personal use and that permission from the copyright owner must be obtained for all other uses. If the document is available under a Creative Commons License (or other specified license) then refer to the Licence for details of permitted re-use. It is a condition of access that users recognise and abide by the legal requirements associated with these rights. If you believe that this work infringes copyright please provide details by email to qut.copyright@qut.edu.au

Notice: Please note that this document may not be the Version of Record (i.e. published version) of the work. Author manuscript versions (as Submitted for peer review or as Accepted for publication after peer review) can be identified by an absence of publisher branding and/or typeset appearance. If there is any doubt, please refer to the published source.

https://doi.org/10.1017/S0373463311000257

Please cite this article as:

Debnath, A.K., Chin, H.C. and Haque, M.M. (2011) Modeling Port Water Collision Risk using Traffic Conflicts. Journal of Navigation, 64(4), pp. 645-655.

Modeling Port Water Collision Risk Using Traffic Conflicts

Ashim Kumar Debnath 1*, Hoong Chor Chin 2, Md. Mazharul Haque 3

*Corresponding author (Email: <u>ashim@alumni.nus.edu.sg</u>)

Abstract

Navigational collisions are one of the major safety concerns for many seaports. Despite the extent of work recently done on collision risk analysis in port waters, little is known about the influencing factors of the risk. This paper develops a technique for modeling collision risks in port waterways in order to examine the associations between the risks and the geometric, traffic, and regulatory control characteristics of waterways. A binomial logistic model, which accounts for the correlations in the risks of a particular fairway at different time periods, is derived from traffic conflicts and calibrated for the Singapore port fairways. Estimation results show that the fairways attached to shoreline, traffic intersection and international fairway attribute higher risks, whereas those attached to confined water and local fairway possess lower risks. Higher risks are also found in the fairways featuring higher degree of bend, lower depth of water, higher numbers of cardinal and isolated danger marks, higher density of moving ships and lower operating speed. The risks are also found to be higher for night-time conditions.

KEYWORDS

1. Navigational collision risk. 2. Port fairways. 3. Traffic conflicts. 4. Binomial logistic model. 5. Hierarchical regression.

¹ Research Fellow, Department of Civil Engineering, National University of Singapore, Engineering Drive 2, EW1 #04-02B, Singapore 117577, Singapore. Tel: +65-65162255, Email: ashim@alumni.nus.edu.sg

² Associate Professor, Department of Civil Engineering, National University of Singapore.

³ Research Fellow, Department of Civil Engineering, National University of Singapore.

1. INTRODUCTION. Maintaining smooth and collision-free traffic movements in port fairways is one of the top-priority concerns in many seaports. However, navigational collisions account for a substantial portion of the major types of shipping incidents in port waters. Many studies (Goossens and Glansdorp, 1998; Akten, 2004; Darbra and Casal, 2004; Liu, Liang et al., 2006; Liu, Pedersen et al., 2006; Yip, 2008) have reported that collisions are over-represented in port water incidents. Collisions are also identified as one of the most severe types of incidents (IMO, 1998), thus making them a major safety concern for many seaports.

Risk of collisions in port waters is likely to increase with the gradual increase of shipping traffic in numbers and sizes over the past decades. The world fleet is increasing in number (see Soares and Teixeira, 2001) which may result in increased traffic movements within port waters, consequently increasing the risk of collision. The number of traffic movements on a busy fairway in port waters can be as high as 2000 per day (Yip, 2008) and the number is expected to increase with the continuing growth of traffic. Such a high number of movements may result in more collisions and near-misses. More importantly, navigational traffic is increasing in size (Faulkner, 2003) resulting in a higher number of large ships in port waters. The larger ships have reduced maneuverability and thus face a consequent increase in the risk of collision (Akten, 2004), especially in the port waters where navigation room is restricted by land obstacles.

To address this safety concern, some recent studies have focused on examining trends and causes of collisions (Goossens and Glansdorp, 1998; Akten, 2004; Darbra and Casal, 2004; Liu, Liang et al., 2006), whereas some (Darbra and Casal, 2004; Yip, 2008) have addressed the issues related to consequences of collisions (i.e., injuries and fatalities). Despite these studies, there is still a lack of knowledge regarding the influencing factors of collision risk in port waters. In particular, it is not well understood how the geometric, traffic and regulatory control characteristics of waterways influence the probability of collisions. Understanding those effects is important for developing targeted countermeasures for improving safety, as well as for setting up guidelines for safe navigation. Roeleven et al. (1995) modeled collision risk by using historical collision data in order to identify the influencing factors related to waterway geometry. While this study provided a good understanding of the geometric factors, it ignored the factors related to traffic and regulatory control characteristics. To model the risk in a comprehensive manner, it is necessary to consider all the possible geometric, traffic and regulatory control characteristics together. This is because navigation in a waterway is not affected by its geometry only; it is also influenced by the traffic conditions and the navigational aids in the waterway.

Developing a technique for modeling collision risks in port waterways is necessary which will identify the influencing factors in a comprehensive manner. Apart from considering a rigorous set of influencing factors, it is also necessary to derive the model in such a way that it does not rely on historical collision data. Reliance on collision data is often considered as reactive and unethical because this approach of modeling requires sufficiently large number of collisions to take place first, before any preventive or corrective measures are taken. It is also difficult to derive statistically sound inferences from analysis of collision data because for a particular waterway, the number of collision counts is low. This low sample problem may also restrict safety analysts from using robust statistical methods (e.g., regression techniques). To overcome the limitations associated with using collision data, the Navigational Traffic Conflict Technique (NTCT) has been proposed by Debnath and Chin (2010) which utilizes traffic conflicts as an alternative of collision data for measuring the risk of collision in a waterway. The most appealing aspect of the NTCT is having a larger database of observations within a shorter period of time as navigational traffic conflicts occur considerably more frequently than collisions. The NTCT also overcomes the ethical issue of

waiting for collisions to take place before the problem is addressed. Using traffic conflicts could be useful in deriving the risk model as it will allow employing a regression technique.

This paper develops a technique for modeling collision risks in port waterways in order to examine the relationships between the risks and the geometric, traffic and regulatory control characteristics of waterways. A binomial logistic model (BLM) with considerations for hierarchical data structure is formulated that accounts for the correlations in the risks of a particular fairway at different time periods. The model is calibrated and validated by using traffic conflicts data of the fairways in Singapore port waters. In section 2, the methodology of the study is described consisting of the formulation of the BLM, considerations for hierarchical data structure and assessment of the model. Section 3 describes the data set used for calibration of the model. Estimation results and significant explanatory variables are discussed in Section 4 and finally conclusions are provided in Section 5.

- **2. METHODOLOGY.** Risk of collision in a waterway can be expressed as probability of a serious conflict in a vessel encounter (see Debnath and Chin, 2010). An encounter is defined as the interactions involving a pair of vessels where one is within the ship domain of the other. A serious conflict corresponds to an encounter that may pose risk of a certain collision, i.e., collision cannot be avoided by taking any kinds of evasive actions. In this research, the serious conflicts are defined by using a set of threshold values, which were developed by Debnath and Chin (2010). The threshold values were defined by utilizing a risk scale that represents different risk levels, which were described by the level of actions necessary to avoid a collision. According to this scale, the High Risk level refers to the situation that immediate actions are needed to avoid a collision, whereas the Very High Risk level refers to the situation where collision cannot be avoided by taking any actions. A serious conflict coincides with the boundary of the two levels. Thus, the threshold values were developed as the value of collision risk at the transition of the risk levels. Since BLM's are appropriate to use when the response variable is a dichotomy or a proportion, they can be used to model the probability of a serious conflict in waterways. In this study, the response variable (i.e., the probabilities) is proportional in nature.
- 2.1 *Model Formulation*. An encounter e at time t in waterway w can have two possible forms: serious conflict $(Y_{ewt}=1)$ and non-serious conflict $(Y_{ewt}=0)$. Since the probability that a serious conflict will occur, $p_{ewt}=\Pr(Y_{ewt}=1)$, is restricted within the range [0,1], the probability is transformed into the logarithm of the odds, $\log(p_{ewt}/(1-p_{ewt}))$ to obtain a range from $-\infty$ $(p_{ewt}=0)$ to ∞ $(p_{ewt}=1)$. By treating the logit transformation as a link function, p_{ewt} is then expressed as

$$p_{ewt} = \frac{\exp(\beta \mathbf{X}_{ewt})}{1 + \exp(\beta \mathbf{X}_{ewt})} \tag{1}$$

where \mathbf{X}_{ewt} is a vector of explanatory variables and $\boldsymbol{\beta}$ is the vector of unknown parameters that explain the effects of the explanatory variables.

The BLM can also be applied to model a proportional response variable. Suppose, in a waterway w at time period t, y_{wt} is the number of serious conflicts and n_{wt} is the total number of encounters where y_{wt} follows a binomial distribution, $f(y_{wt}; n_{wt}, p_{ewt})$. The expected number of serious conflicts in waterway w at time period t is

$$E(y_{wt}) = n_{wt} p_{ewt} \tag{2}$$

The proportional response variable, y_{wt}/n_{wt} , is then equivalent to p_{ewt} as

$$E(y_{wt}/n_{wt}) = p_{ewt} \tag{3}$$

2.2 Considerations for Hierarchical Data. In the presence of within-panel correlation in the response variable, models that do not appropriately consider the hierarchical data structure may yield biased results. The correlation of the observations within a panel violates the assumption in an Ordinary Regression Model (ORM), such as the BLM, that all observations across all panels are independent. When this assumption is violated, the ORM underestimates the standard errors of the regression coefficients which results in obtaining falsely significant results (Allison, 1999). A hierarchical model, on the other hand, takes into consideration the correlated structure of observations in estimation of the standard errors.

Risk of collision is usually modeled separately for different time periods, because navigation is affected by the environment in day and night periods (Chin and Debnath, 2009; Debnath and Chin, 2009a; Debnath and Chin, 2010). For a particular waterway, the risks at day and night are likely to be correlated because of the fixed characteristics of the waterway over the time periods (e.g., geometric and regulatory control characteristics). These withinwaterway correlations need to be carefully modeled to obtain unbiased results.

2.2.1 Binomial Logistic Model with Modified Sandwich Variance Matrix. To account for the within-waterway correlations, the BLM with a modified sandwich variance matrix can be employed. Instead of using an ordinary BLM, this approach computes the standard errors by correctly specifying the hierarchical data structure. The key idea is that since an ordinary BLM underestimates standard errors in a correlated data structure, this approach computes the standard errors by treating the correlations and keeps the other computations similar to an ordinary BLM.

In this approach, a BLM uses a modified sandwich variance matrix to find the maximum likelihood estimates while treating the correlated data structure (see Hardin and Hilbe, 2007 for details). The matrix has a score factor, \hat{B}_{MS} , sandwiched between two copies of Hessian matrix, which is usually used in estimating parameters of an ordinary BLM, as

$$\hat{V}_{MH} = \hat{V}_{H}^{-1} \hat{B}_{MS} \hat{V}_{H}^{-1} \tag{4}$$

where if each panel w (waterway) contains T_w observations (time periods), \mathbf{x}_{wt} refers to the row of the matrix \mathbf{X} associated with the t^{th} observation for subject w, $\hat{\phi}$ is the scale parameter, η is the linear predictor = $\beta \mathbf{X}$, and μ_{wt} is the expected number of serious conflicts in waterway w at time period t (= $n_{wt}p_{ewt}$), the score factor is given as

$$\hat{B}_{MS} = \sum_{w=1}^{W} \left\{ \sum_{t=1}^{T_{w}} \mathbf{x}_{wt}^{\mathrm{T}} \frac{y_{wt} - \hat{\mu}_{wt}}{V(\hat{\mu}_{wt})} \left(\frac{\partial \mu}{\partial \eta} \right)_{wt} \hat{\phi} \right\} \left\{ \sum_{t=1}^{T_{w}} \frac{y_{wt} - \hat{\mu}_{wt}}{V(\hat{\mu}_{wt})} \left(\frac{\partial \mu}{\partial \eta} \right)_{wt} \hat{\phi} \mathbf{x}_{wt} \right\}$$
(5)

The Hessian matrix is expressed as

$$\hat{V}_{H} = \left(-\frac{\partial^{2} \ell}{\partial \beta \, \partial \beta^{\mathrm{T}}}\right)^{-1} \tag{6}$$

$$\text{where } \ell = \sum_{w=1}^W \sum_{t=1}^{T_w} \left\{ y_{wt} \ln \left(\frac{\mu_{wt}}{1 - \mu_{wt}} \right) + n_{wt} \ln (1 - \mu_{wt}) + \ln \binom{n_{wt}}{y_{wt}} \right\} \text{ is the log likelihood function of the model.}$$

In maximum likelihood estimation method, the regression coefficients of the BLM are estimated by maximizing the log likelihood function, and the sandwich variance matrix is used to estimate the standard errors and confidence intervals of the coefficients.

2.3 Model Assessment. An important step in model assessment is to identify the subset of explanatory variables which yields the most parsimonious model. This is accomplished by using the Akaike Information Criteria (AIC) developed by Akaike (1973) which is defined as AIC = -2LL(c) + 2k, where LL(c) is the log-likelihood value of the candidate model at convergence and k is the number of parameters to be estimated. Starting with a saturated model that includes the full set of explanatory variables, a backward elimination procedure is employed to obtain the most parsimonious model by minimizing the value of AIC. The insignificant variables are omitted one after another starting with the most insignificant one.

In modeling a discrete response variable, it is important to assess if the model is overdispersed, i.e., the variance of the response variable is greater than the nominal variance. Existence of overdispersion can be identified by observing the value of the dispersion statistics, $\psi = (-2\phi(LL(c) - LL(F)))/(N-k)$, where LL(F) is the log-likelihood of a fully-specified model, N is the total number of observations and k is the number of parameters to be estimated. A value of ψ greater than 1.0 indicates existence of overdispersion. As suggested by Hardin and Hilbe (2007), a small amount of overdispersion is of little concern. However, if ψ greater than 2.0, then an adjustment to the standard errors is necessary.

The z-test is used in order to examine the significance of explanatory variables included in the model, and several goodness-of-fit (gof) measures found in Long and Freese (2006) are used to evaluate if the model have sufficient explanatory and predictive power. The likelihood ratio statistics, $G^2 = 2[LL(\beta) - LL(0)]$, is used to examine the overall gof of the model, where $LL(\beta)$ and LL(0) are the log-likelihoods of the best-fitted model and the null model respectively. The adjusted log-likelihood ratio index, $\rho_{adj}^2 = 1 - ((LL(\beta) - k)/LL(0))$, is also used to measure the predictive power of the model.

In order to interpret the effects of explanatory variables, the exponential of the regression coefficients, i.e., $\exp(\beta)$ is calculated to obtain the Odds Ratio (O.R.). This provides a basic interpretation for the magnitude of β : if O.R. is less than 1.0, a unit increase in an explanatory variable will reduce the odds of a serious conflict by a multiplicative effect of $\exp(\beta)$ and vice versa. In case of categorical variables, $\exp(\beta_a - \beta_b)$ can be calculated which represents the O.R. between two categories, a and b for comparison purpose.

3. DATASET FOR ANALYSIS. To illustrate the modeling technique, a total of 15 fairway sections in Singapore port waters are considered. From operational definitions of fairways (MPA, 2006), the study area are divided into 15 approximately homogeneous sections. A map showing the fairway sections is presented in Figure 1. The response variable of the model is the collision risks in the fairway sections for day and night conditions, which are measured by the NTCT (see Debnath and Chin, 2010 for details). The explanatory variables include the geometric, traffic and regulatory control characteristics of the fairway sections and a time indicator. These data are collected from various sources, such as navigational charts, tables and the Singapore port traffic database.

A total of 20 explanatory variables, which are hypothesized to relate to risk of collision in a fairway, are considered in the model. A correlation matrix of the variables is examined to identify and avoid multi-collinearity. Description of the selected variables, together with their means and standard deviations (S.D.), are presented in Table 1.

Since risk of collision in a fairway is likely to be influenced by traffic in its boundary waters, it is necessary to consider the boundary effects. The waters around a fairway are described by six types of boundaries, such as shoreline, intersection, anchorage, confined water, local fairway and international fairway. Confined waters comprise the port terminal berth areas and the low depth waters with scattered land obstacles. The fairways inside port waters are referred to as local fairway, while those outside port waters are referred to as international fairways. The others are defined according to their standard definitions. The boundary waters are defined as binary variables in the model based on their presence.

Geometric characteristics of fairways include the water depth of navigation, average navigable width, the degree of bend (described by the sum of all angular deflection from a straight line extended from the straight fairway section prior to a bend), the presence of pilot boarding/disembarkation ground and whether the traffic separation scheme (TSS) is enforced. Pilot boarding/disembarkation grounds are defined as the waters used by pilots to board or disembark an ocean-going vessel. Presence of TSS represents if traffic streams in a fairway are separated by some between space margins.

Characteristics of navigational aids (e.g., navigational buoys/lights) in fairways are represented by cardinal marks and isolated danger marks, as specified in the IALA Maritime Buoyage System (IALA, 1980). A cardinal mark indicates the deepest water side around the mark. An isolated danger mark is used to indicate the danger of a small area which has navigable water all around it. The variables are described as the number of marks present in the fairways.

Traffic characteristics of the fairways are obtained from the vessel traffic information system database of Singapore port. These include traffic densities, and operating speeds of the fairways. Traffic density is described as the average number of moving vessels per square nautical mile and the average number of stationary vessels per square nautical mile, while operating speed represents the average speed of the vessels navigating in the fairways. The average values are obtained for both the day and night situations. Furthermore, to account for the effects of differences in navigational characteristics at day and night a binary variable representing the two time periods is considered.

4. RESULTS AND DISCUSSION. The parameters of the BLM were derived using the maximum likelihood estimation method. Estimates of the BLM along with the fitness statistics are presented in Table 2. The resulting BLM yields the value of AIC as 96.1 and dispersion statistics as 0.51, which indicate that adjustments to the standard errors are not necessary. The likelihood ratio statistics (244.7, p < 0.001) is well above the critical value for significance at 95% level of significance, which implies that the model has reasonably good fit. The adjusted log-likelihood ratio index (0.69) also indicates that the model has sufficient explanatory and predictive power. The significant explanatory variables that are strongly associated with collision risk are discussed in the subsequent paragraphs.

Risk of collision is found to be significantly associated with presence of shoreline at fairway boundary (beta = 3.03, p < 0.001). The odds of a serious conflict are 19.7 times higher if the fairway is attached to shoreline. Pilots may have less flexibility in taking evasive actions in this type of fairways as navigating closer to shoreline will increase the risk of grounding. To compensate the grounding risk, pilots have a tendency to navigate near the centre of the fairways which could increase the risk of head-on collisions. Risk of collision could be higher due to the reduced flexibility in maneuvering.

Intersection attached to fairways shows significant positive effect (beta = 1.14, p < 0.001) on collision risk with 214% higher odds of a serious conflict. Number of vessel movements is usually high in these waters as vessels from different fairways approach towards intersection for crossing purpose. Risk of collision could rise due to the cross traffic interactions and the high number of vessel movements, which could also result in more number of conflicts.

Risk of collision is found to be decreased (beta = -1.59, p < 0.001) in fairways bounded by confined water with corresponding 4.9 times higher odds of a non-serious conflict. Confined water characterizes low density and slow speed vessel movements in the berth areas, and only the small vessels (e.g., pilot boats, speed boats) operate in the low depth waters. For these reasons, risks in attached fairways could be lower.

Risk of collision significantly increases if an international fairway is present at fairway boundary (beta = 3.76, p < 0.001). Results show that the odds of a serious conflict are about 42 times higher if a fairway is bounded by an international fairway. Pilot boarding/disembarkation grounds are usually located near the international fairways. These grounds are used by pilots to go onboard the vessels calling to port or to disembark the vessels intending to leave the port. The boarding and disembarkation process is a critical safety event in navigation (SOLAS, 1974) and it often requires vessels to slacken speeds for making the process safer. This speed reduction could impede the through traffic in international fairways and, possibly, result in more conflicts. In addition, interactions of pilot boats with the existing traffic may pose an additional risk of collision.

The presence of a local fairway shows significant negative effect on collision risk (beta = -1.88, p < 0.001) with a corresponding decrease of 84.7% in the odds of a serious conflict. Two local fairway sections can be attached if there is no intersection between them, i.e., the fairway sections differ only in their geometric and/or regulatory control characteristics (e.g., width, presence of TSS). While the presence of an intersection increases collision risks in fairways, its absence will reduce the risks as no cross traffic interactions take place in such waters.

The navigable water depth is found to have a negative association (beta = -0.13, p < 0.001) with collision risk. This result is expected because pilots do not need to worry about under keel clearance, squat effects, or monitoring an echo-sounder while navigating in deeper waters, which may allow taking risk mitigating actions at an early stage. Debnath and Chin (2009a) have also reported that perceived risk decreases if water depth is higher.

An increasing degree of deflection is found to positively influence (beta = 0.01, p < 0.001) collision risk. This finding is consistent with that of Roeleven et al. (1995) who reported that decreasing bend radius (i.e., increasing degree of deflection) gives rise to the probability of collision. Debnath and Chin (2009a) have also reported that pilots perceive higher risks in fairways having sharper bends. This is generally expected as vessels need larger navigation room for course alteration in case of sharper bends (Sarioz, Kukner et al., 2000) and traffic interactions are more complicated at bends, compared to straight sections. Furthermore, rear and forward views could be restricted prior to and during course alternation at bends due to presence of land obstacles, which could impede the process of taking timely evasive actions. Interestingly results show that the odds of a serious conflict increases by 1% for a unit increment in degree of deflection. While this may be obvious, increasing sight distance by managing land obstacles could improve safety at bends.

The number of cardinal marks is found to have positive association with collision risk (beta = 0.14, p < 0.001), correspondingly increasing the odds of a serious conflict by 16%. A cardinal mark is used to indicate the deepest water side (i.e., safe side to pass a danger) around the mark. It is also used to mark the locations featuring a bend, an intersection or a

bifurcation (MPA, 2006) where the risk of collision is usually high. This might be a reason of observing the positive association between number of cardinal marks and risk.

The number of isolated danger marks is found to have significant association with collision risk (beta = 1.65, p < 0.001). Presence of an isolated danger mark increases the odds of a serious conflict by 423% in fairways. These marks are used to indicate a small dangerous area surrounded by navigable waters. Therefore, presence of the marks can disrupt the smooth flow of traffic in a fairway as pilots need to navigate away from the danger areas, while at the same time taking evasive actions to mitigate collision risks if other vessels are present in close proximity.

The risk of collision in a fairway increases with increased density of moving ships (beta = 0.44, p = 0.003). Results show that the odds of a serious conflict increase by 55.5% for a unit increment in the density. This result is expected because increased density implies greater interaction between vessels and possibly results in more multi-vessel conflicts. Risk of collision will therefore increase because of greater exposure.

Operating speed shows significant negative association with collision risk. An increase of 1 knot reduces the odds of a serious conflict by 15.1% (beta = -0.16, p < 0.001). The result can be explained by the fact that in order to take evasive actions, pilots may slacken speed while being involved in an encounter producing significant collision risk. Therefore, the average operating speed in a fairway will be smaller if high numbers of encounters (i.e., higher risk) take place in that fairway. For this reason, the negative association could be observed.

Risk of collision is found to be higher at night (beta = 2.30, p < 0.001) with 9 times higher odds of a serious conflict than during the day. This could be because during the day the speeds, distances between vessels and even any change of courses can be judged more readily than at the night. At nighttime, pilots need to rely entirely on navigational aids (e.g., radar, navigational lights), which makes the risk perception and mitigation process difficult as pilots are less able to verify the situation visually. Furthermore, naturally visibility deteriorates at night which could hinder the watchkeeping process and lead to navigational confusion. Effectiveness of navigational lights can also be reduced at night due to bright background lights on shore and from nearby islands (Akten, 2004; Liu, Liang et al., 2006). A number of studies (Chin and Debnath, 2009; Debnath and Chin, 2009a; Debnath and Chin, 2009b) have also reported that pilots perceive higher collision risk at night.

5. CONCLUSIONS. A BLM with considerations for hierarchical data structure was formulated to investigate how collision risks are associated with the geometric, traffic, and regulatory control characteristics of port waterways. This model helps account for the correlations in risks at different time periods in a waterway. In addition, it uses traffic conflicts as an alternative to collision data, thus retains the proactive nature of the NTCT. The modeling technique was illustrated for the fairways in Singapore port waters.

Estimation results imply that for predicting collision risk in a waterway, the developed modeling technique can be employed effectively. The likelihood ratio statistics of the model was found well above the critical value for significance at 95% level of significance implying that the model has reasonably good fit. The adjusted log-likelihood ratio index also indicates sufficient explanatory and predictive power of the model.

Several statistically significant relationships between the risk and waterway characteristics are identified. Results showed higher risks at the fairways bounded by the shoreline, at intersections of fairways, and at international fairways. Higher risks were also found at the fairways with higher degree of bend, lower depth of water, higher numbers of cardinal and isolated danger marks, higher density of moving ships and lower operating

speed. Night-time conditions were also found to be associated with higher risks. The fairways with confined water and local fairways at their boundaries were found to exhibit less risk.

The developed model has potential for fast, reliable and proactive safety evaluation in port waterways. For assessing safety after changes in the characteristics of waterways, the model can be employed effectively to predict risks of collision in the waterways. While the model is calibrated for the Singapore port fairways in this study, the modeling technique can be easily applied for fairways in other ports. The technique has the advantage of being employed within a short period of time as it relies on traffic conflicts and only needs several hours of traffic movement data.

ACKNOWLEDGEMENTS

The authors gratefully thank the Maritime and Port Authority of Singapore and PSA Marine (Pte) Ltd for their support and providing data. Views of this article do not necessarily reflect the opinion of the organizations.

REFERENCES

- Akaike, H. (1973). Information theory and an extension of the maximum likelihood principle. *Proceedings of the Second International Symposium on Information Theory*, Academiai Kiado, Budapest.
- Akten, N. (2004). Analysis of Shipping Casualties in the Bosphorus. *The Journal of Navigation* **57**(03), 345-356.
- Allison, P. D. (1999). Logistic regression using the SAS system: Theory and application. Cary, NC, USA, SAS Institute.
- Chin, H. C. and A. K. Debnath (2009). Modeling perceived collision risk in port water navigation. *Safety Science* **47**(10), 1410-1416.
- Darbra, R.-M. and J. Casal (2004). Historical analysis of accidents in seaports. *Safety Science* **42**(2), 85-98.
- Debnath, A. K. and H. C. Chin (2009a). Hierarchical Modeling of Perceived Collision Risks in Port Fairways. *Transportation Research Record: Journal of the Transportation Research Board* **2100**, 68-75.
- Debnath, A. K. and H. C. Chin (2009b). Perceived Collision Risks in Anchorages: A Hierarchical Ordered Probit Analysis. *Proceedings of the The 8th EASTS Conference*, Surabaya, Indonesia.
- Debnath, A. K. and H. C. Chin (2010). Navigational Traffic Conflict Technique: A Proactive Approach to Quantitative Measurement of Collision Risks in Port Waters. *The Journal of Navigation* **63**(1), 137-152.
- Faulkner, D. (2003). Shipping Safety: A Matter of Concern. Proceedings Institute of Marine Engineering Science and Technology Part B Journal of Marine Design and Operations 5, 37-56.
- Goossens, L. H. J. and C. C. Glansdorp (1998). Operational Benefits and Risk Reduction of Marine Accidents. *The Journal of Navigation* **51**(03), 368-381.
- Hardin, J. W. and J. M. Hilbe (2007). *Generalized Linear Models and Extensions, Second Edition*. College Station, Texas, USA, Stata Press.
- IALA (1980). IALA Maritime Buoyage System, International Association of Marine Aids to Navigation and Lighthouse Authorities, France.
- IMO (1998). Joint Nordic Project on Safety Assessment of High-Speed Craft Operations, International Maritime Organization.

- Liu, C.-P., G.-S. Liang, et al. (2006). Navigation Safety Analysis in Taiwanese Ports. *The Journal of Navigation* **59**(02), 201-211.
- Liu, Q., E. Pedersen, et al. (2006). Direct perception interface for ship-ship collision avoidance. *Proceedings of the IEEE 2006 International Conference on Systems, Man and Cybernetics*, Taipei, Taiwan, Institute of Electrical and Electronics Engineers Inc.
- Long, J. S. and J. Freese (2006). *Regression Models for Categorical Dependent Variables Using Stata*, Stata Press, Texas, USA.
- MPA (2006). *Singapore Port Information* (2006/2007 Edition). Singapore, Maritime and Port Authority of Singapore.
- Roeleven, D., M. Kok, et al. (1995). Inland Waterway Transport: Modeling the Probability of Accidents. *Safety Science* **19**, 191-202.
- Sarioz, K., A. Kukner, et al. (2000). A Real-Time Ship Manoeuvring Simulation Study for the Strait of Istanbul (Bosporus). *The Journal of Navigation* **52**(03), 394-410.
- Soares, C. G. and A. P. Teixeira (2001). Risk assessment in maritime transportation. *Reliability Engineering & System Safety* **74**(3), 299-309.
- SOLAS (1974). International Convention for the Safety of Life at Sea 1974. I. M. Organization. London, UK. Chapter V Regulation 23.
- Yip, T. L. (2008). Port traffic risks A study of accidents in Hong Kong waters. Transportation Research Part E: Logistics and Transportation Review 44(5), 921-931.

Table 1. Summary of explanatory variables

Explanatory variables	Description	Mean	S.D.
Fairway characteristics			
Fairway boundary			
Shoreline	1 if present, else 0	0.200	0.407
Intersection	1 if present, else 0	0.600	0.498
Anchorage	1 if present, else 0	0.733	0.450
Confined water	1 if present, else 0	0.667	0.479
Local fairway	1 if present, else 0	0.867	0.346
International fairway	1 if present, else 0	0.400	0.498
Water depth	Controlling water depth of navigation (meters)	17.987	9.078
Fairway width	Average width of fairway (meters)	1224.171	693.810
Degree of bend	Cumulative fairway centerline deflections (degrees)	35.200	34.098
Pilot B/D ground	1 if present, else 0	0.400	0.498
Traffic separation scheme	1 if present, else 0	0.133	0.346
Cardinal mark	Number of cardinal marks	0.933	1.552
Isolated danger mark	Number of isolated danger marks	0.133	0.346
Traffic characteristics			
Moving ship density	Avg. moving ship density in fairway (ships/sq NM)	1.714	1.206
Stationary ship density	Avg. stationary ship density in fairway (ships/sq NM)	1.016	1.565
Operating speed	Average operating speed in fairway (knots)	6.097	3.586
Time variable	·		
Day/Night	1 if night, 0 if day	0.500	0.509

Table 2. Estimation results of the BLM

Employate managinable:	Effect estimates		0.11	D 1
Explanatory variables	Coefficient	S.E.	Odds ratio	P-value
Fairway characteristics				
Fairway boundary				
Shoreline	3.0292	0.2905	20.681	0.000
Intersection	1.1429	0.1526	3.136	0.000
Confined water	-1.5875	0.2889	0.204	0.000
Local fairway	-1.8804	0.1479	0.153	0.000
International fairway	3.7602	0.2785	42.956	0.000
Water depth	-0.1308	0.0121	0.877	0.000
Degree of bend	0.0101	0.0012	1.010	0.000
Cardinal mark	0.1445	0.0399	1.155	0.000
Isolated danger mark	1.6545	0.2819	5.230	0.000
Traffic characteristics				
Moving ship density	0.4412	0.1479	1.555	0.003
Stationary ship density	-0.3595	0.1999	0.698	0.072
Operating speed	-0.1641	0.0218	0.849	0.000
Time variable				
Day/Night	2.2992	0.3357	9.966	0.000
Model statistics				
Intercept	-7.7939	0.8197		0.000
Log-likelihood (null)	-156.375			
Log-likelihood (model)	-34.032			
Likelihood ratio statistics	244.686			
Adj. LL ratio index	0.693			
AIC	96.064			
Dispersion parameter	0.513			

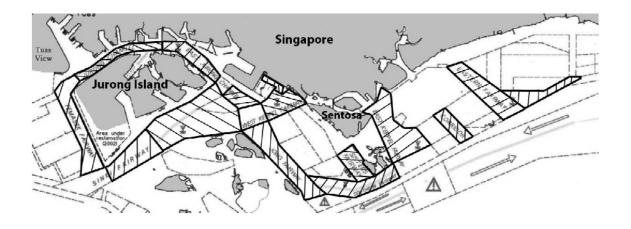


Figure 1. Fairways in Singapore port waters (fairway sections marked by hatching lines)